
Computer Graphics

- Splines -

Philipp Slusallek

Overview

- **Last Time**
 - Color
 - Transformations
- **Today**
 - Parametric Curves
 - Lagrange Interpolation
 - Hermite Splines
 - Bezier Splines
 - DeCasteljau Algorithm
 - Parameterization

Curves

- **Curve descriptions**

- Explicit

- $y(x) = \pm \sqrt{r^2 - x^2}$, restricted domain

- Implicit:

- $x^2 + y^2 = r^2$ unknown solution set

- Parametric:

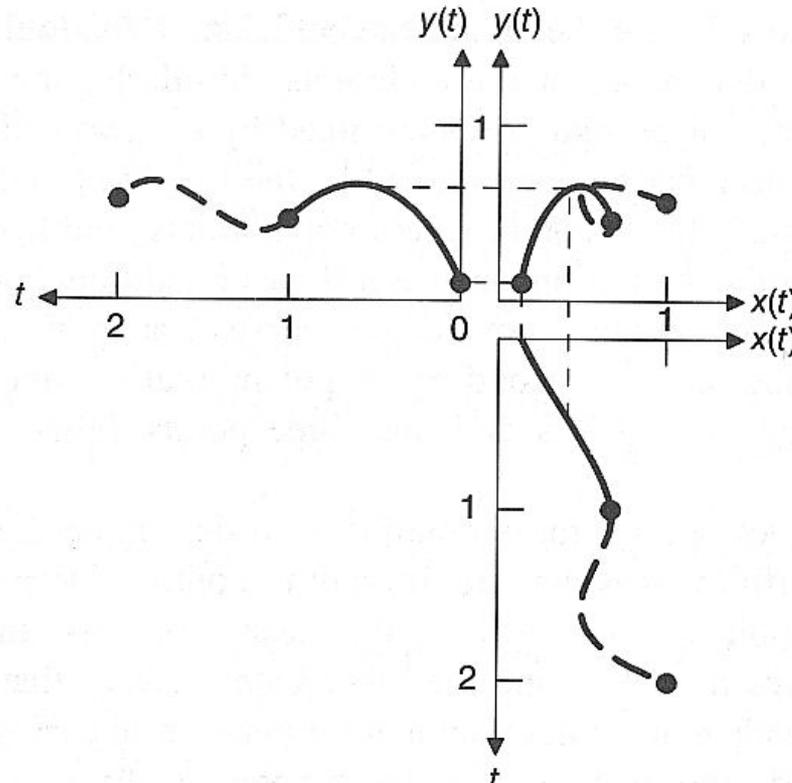
- $x(t) = r \cos(t)$, $y(t) = r \sin(t)$, $t \in [0, 2\pi]$
 - Flexibility and ease of use

- **Polynomials**

- Avoids complicated functions (z.B. pow, exp, sin, sqrt)
 - Use simple polynomials of low degree

Parametric curves

- **Separate function in each coordinate**
 - 3D: $f(t) = (x(t), y(t), z(t))$



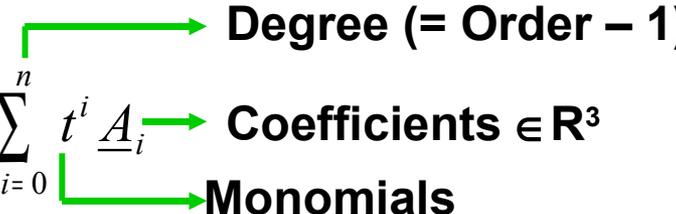
Monomials

- **Monomial basis**

- Simple basis: 1, t, t², ... (t usually in [0 .. 1])

- **Polynomial representation**

$$\underline{P}(t) = \begin{pmatrix} \underline{x}(t) & \underline{y}(t) & \underline{z}(t) \end{pmatrix} = \sum_{i=0}^n t^i \underline{A}_i$$



- Coefficients can be determined from a sufficient number of constraints (e.g. interpolation of given points)
 - Given (n+1) parameter values t_i and points P_i
 - Solution of a linear system in the A_i – possible, but inconvenient

- **Matrix representation**

$$P(t) = \begin{pmatrix} x(t) & y(t) & z(t) \end{pmatrix} = T(t) \mathbf{A} = \begin{bmatrix} t^n & t^{n-1} & \dots & 1 \end{bmatrix} \begin{bmatrix} A_{x,n} & A_{y,n} & A_{z,n} \\ A_{x,n-1} & A_{y,n-1} & A_{z,n-1} \\ \vdots & \vdots & \vdots \\ A_{x,0} & A_{y,0} & A_{z,0} \end{bmatrix}$$

Derivatives

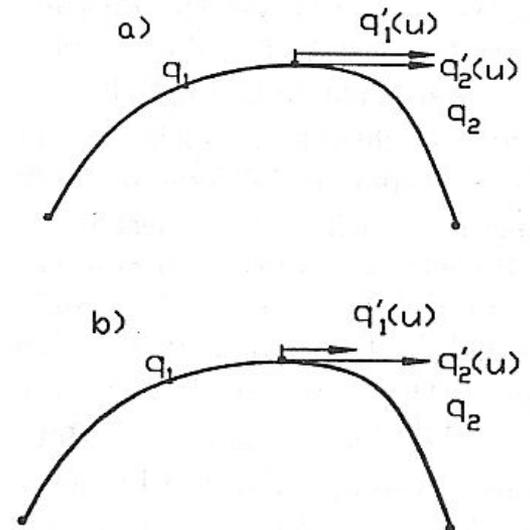
- **Derivative = tangent vector**

- Polynomial of degree (n-1)

$$P'(t) = (x'(t) \quad y'(t) \quad z'(t)) = T'(t) \mathbf{A} = \begin{bmatrix} nt^{n-1} & (n-1)t^{n-1} & \dots & 1 & 0 \end{bmatrix} \begin{bmatrix} A_{x,n} & A_{y,n} & A_{z,n} \\ A_{x,n-1} & A_{y,n-1} & A_{z,n-1} \\ \vdots & \vdots & \vdots \\ A_{x,0} & A_{y,0} & A_{z,0} \end{bmatrix}$$

- **Continuity and smoothness between parametric curves**

- $C^0 = G^0 =$ same point
- Parametric continuity C^1
 - Tangent vectors are identical
- Geometric continuity G^1
 - Same direction of tangent vectors
- Similar for higher derivatives



Lagrange Interpolation

- **Interpolating basis functions**

- Lagrange polynomials for a set of parameters $T = \{t_0, \dots, t_n\}$

$$L_i^n(t) = \prod_{\substack{j=0 \\ i \neq j}}^n \frac{t - t_j}{t_i - t_j}, \quad \text{with} \quad L_i^n(t_j) = \delta_{ij} = \begin{cases} 1 & i = j \\ 0 & \text{otherwise} \end{cases}$$

- **Properties**

- Good for interpolation at given parameter values
 - At each t_j : One basis function = 1, all others = 0
- Polynomial of degree n (n factors linear in t)

- **Lagrange Curves**

- Use Lagrange Polynomials with point coefficients

$$\underline{P}(t) = \sum_{i=0}^n L_i^n(t) \underline{P}_i$$

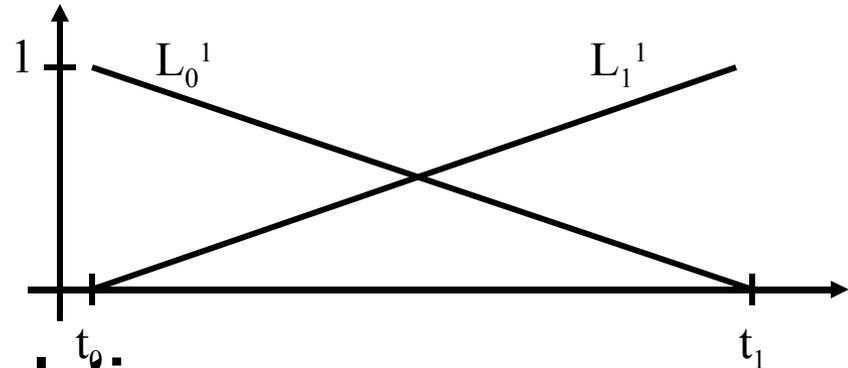
Lagrange Interpolation

- **Simple Linear Interpolation**

- $T = \{t_0, t_1\}$

$$L_0^1(t) = \frac{t - t_1}{t_0 - t_1}$$

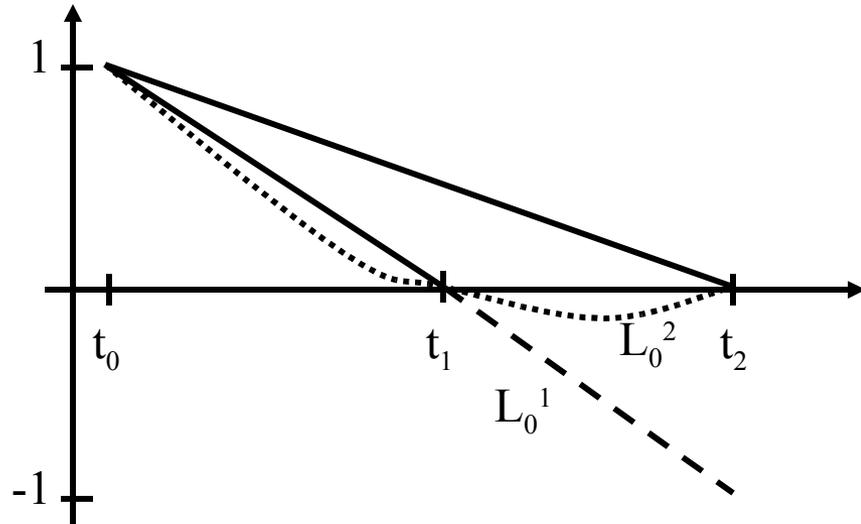
$$L_1^1(t) = \frac{t - t_0}{t_1 - t_0}$$



- **Simple Quadratic Interpolation**

- $T = \{t_0, t_1, t_2\}$

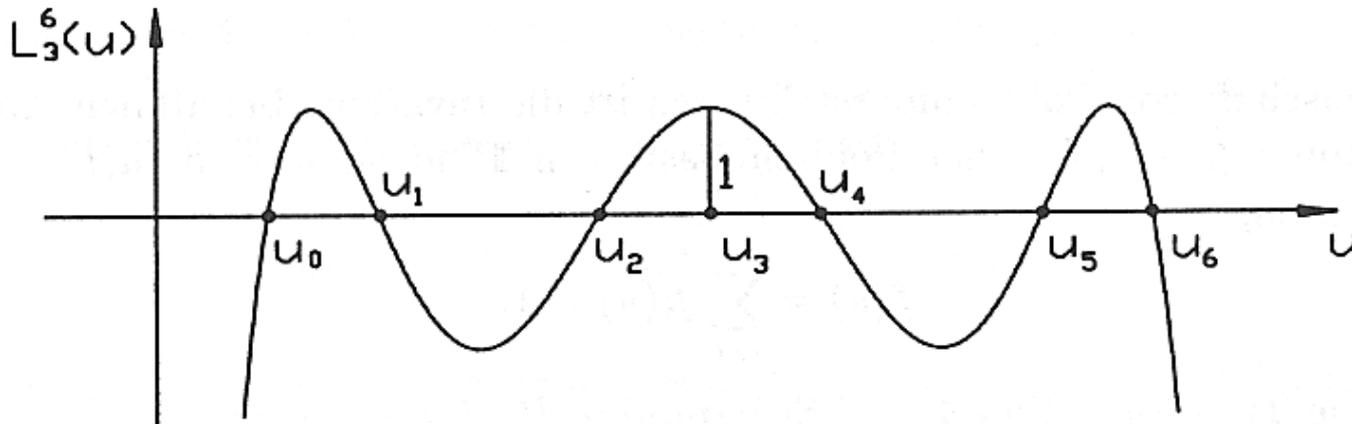
$$L_0^2(t) = \frac{t - t_1}{t_0 - t_1} \frac{t - t_2}{t_0 - t_2}$$



Problems

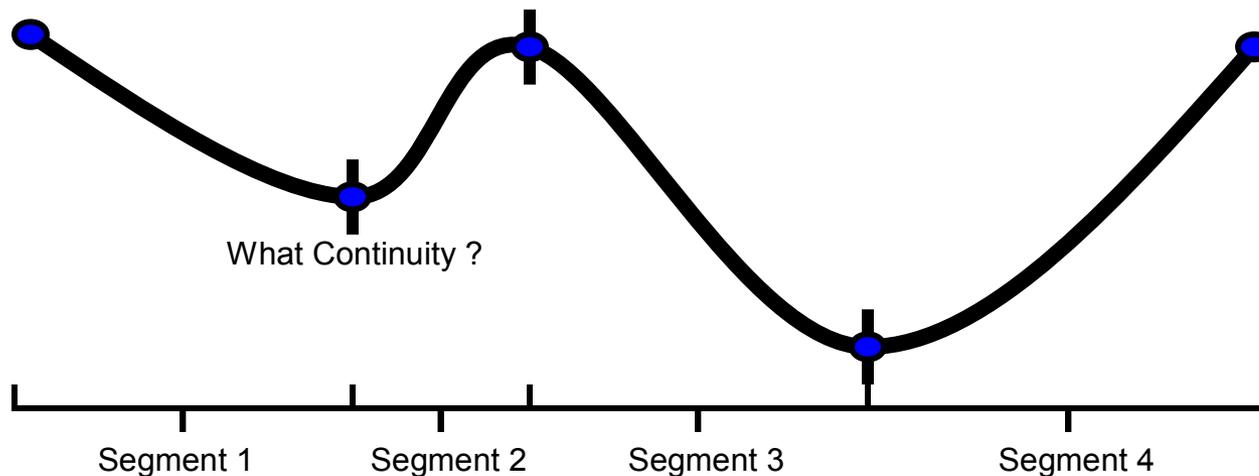
- **Problems with a single polynomial**

- Degree depends on the number of interpolation constraints
- Strong overshooting for high degree ($n > 7$)
- Problems with smooth joints
- Numerically unstable
- No local changes



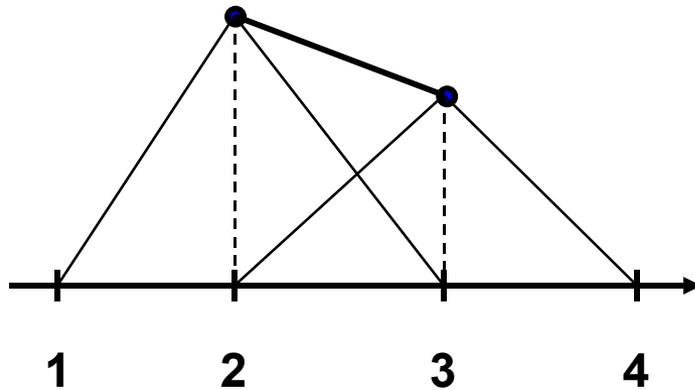
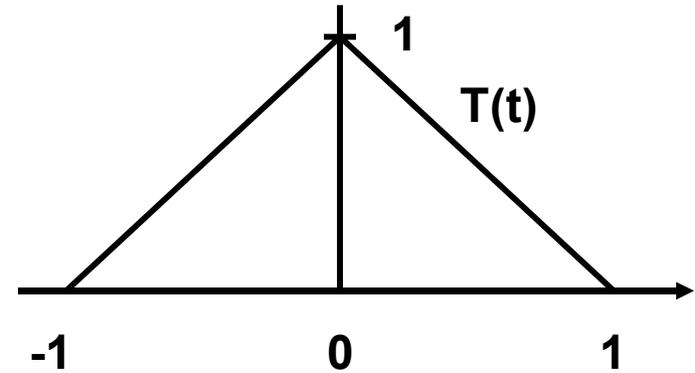
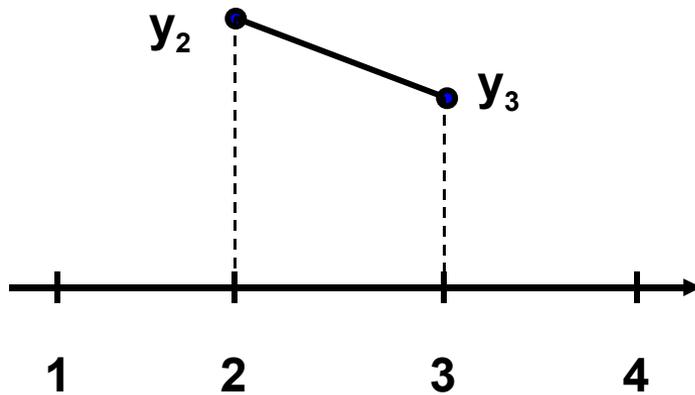
Splines

- **Functions for interpolation & approximation**
 - Standard curve and surface primitives in geometric modeling
 - Key frame and in-betweens in animations
 - Filtering and reconstruction of images
- **Historically**
 - Name for a tool in ship building
 - Flexible metal strip that tries to stay straight
 - Within computer graphics:
 - Piecewise polynomial function



Linear Interpolation

- Hat Functions and Linear Splines



$$P(t) = \sum P_i T_i(t) = y_2 T_2(t) + y_3 T_3(t)$$

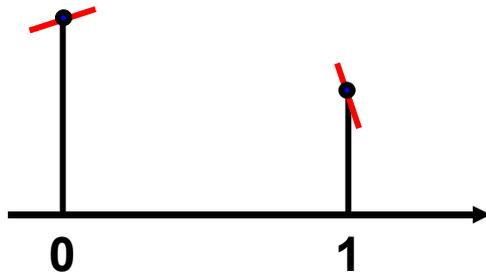
$$T(t) = \begin{cases} 0 & t < -1 \\ 1+t & -1 \leq t < 0 \\ 1-t & 0 \leq t < 1 \\ 0 & t \geq 1 \end{cases}$$

$$T_i(t) = T(t - i)$$

Hermite Interpolation

- **Hermite Basis (cubic)**

- Interpolation of position P and tangent P' information for $t = \{0, 1\}$



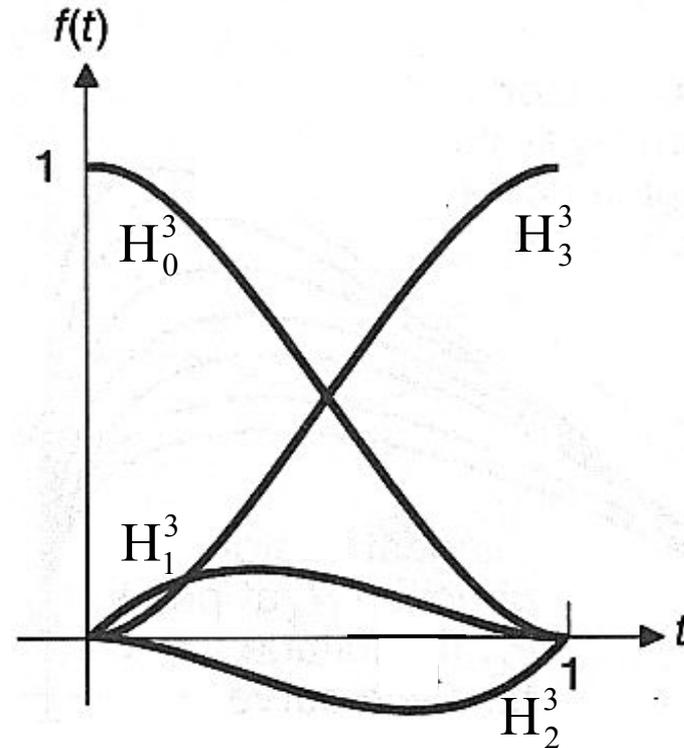
- Basis functions

$$H_0^3(t) = (1-u)^2(1+2u)$$

$$H_1^3(t) = u(1-u)^2$$

$$H_2^3(t) = -u^2(1-u)$$

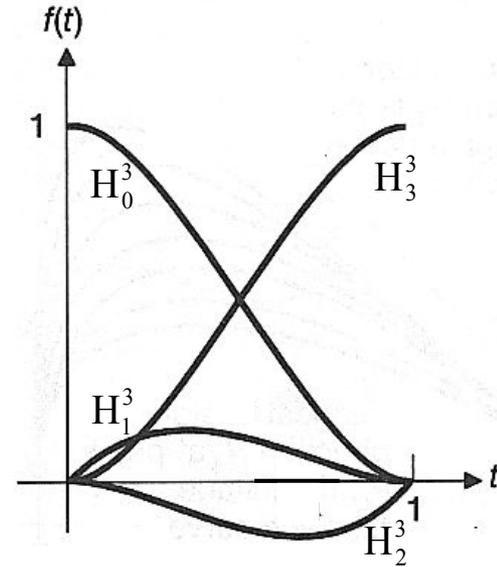
$$H_3^3(t) = (3-2u)u^2$$



Hermite Interpolation

- **Properties of Hermite Basis Functions**

- H_0 (H_3) interpolates smoothly from 1 to 0 (1 to 0)
- H_0 and H_3 have zero derivative at $t=0$ and $t=1$
 - No contribution to derivative (H_1, H_2)
- H_1 and H_2 are zero at $t=0$ and $t=1$
 - No contribution to position (H_0, H_3)
- H_1 (H_2) has slope 1 at $t=0$ ($t=1$)
 - Unit factor for specified derivative vector

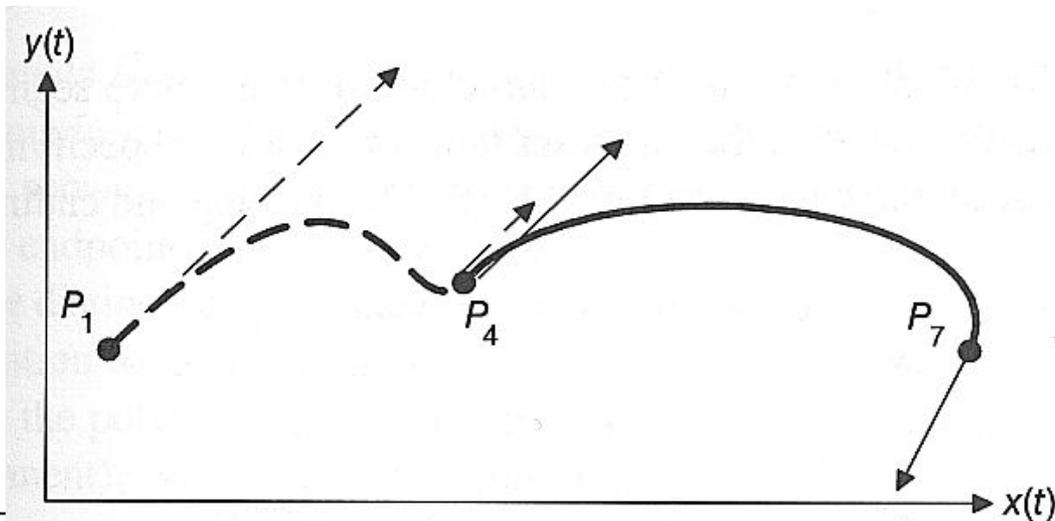
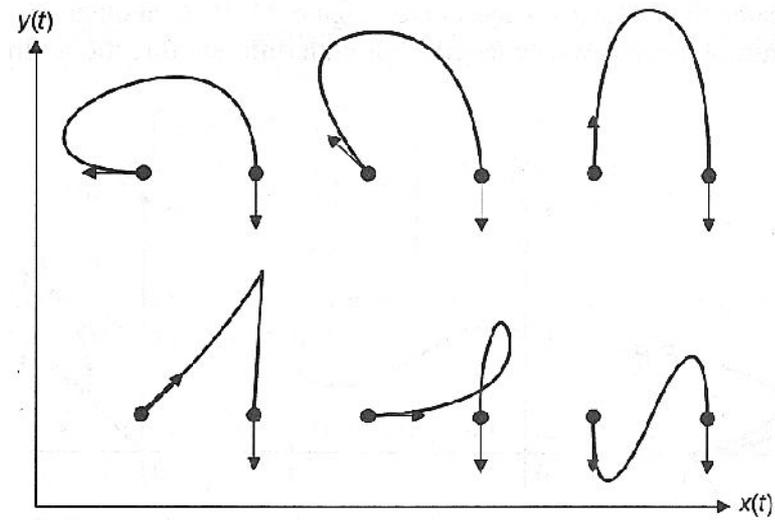
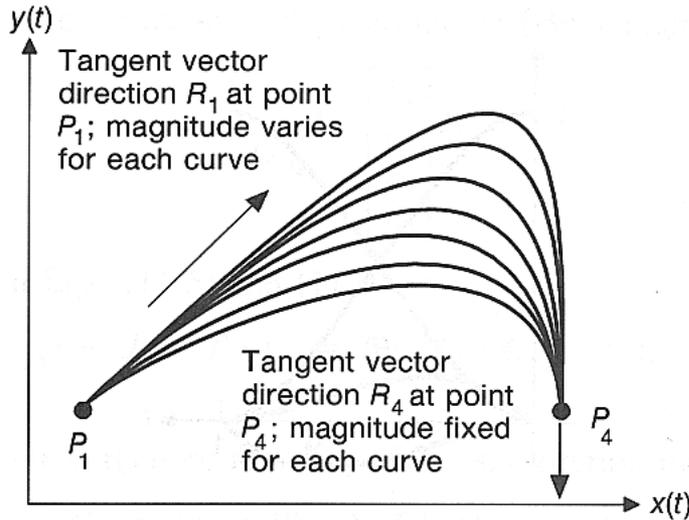


- **Hermite polynomials**

- P_0, P_1 are positions $\in \mathbb{R}^3$
- P_0', P_1' are derivatives (tangent vectors) $\in \mathbb{R}^3$

$$\underline{P}(t) = P_0 H_0^3(t) + P_0' H_1^3(t) + P_1' H_2^3(t) + P_1 H_3^3(t)$$

Examples: Hermite Interpolation



Matrix Representation

- Matrix representation

$$P(t) = \begin{bmatrix} t^3 & t^2 & \dots & 1 \end{bmatrix} \begin{bmatrix} A_{x,n} & A_{y,n} & A_{z,n} \\ A_{x,n-1} & A_{y,n-1} & A_{z,n-1} \\ \vdots & \vdots & \vdots \\ A_{x,0} & A_{y,0} & A_{z,0} \end{bmatrix} =$$

$$\underbrace{\begin{bmatrix} t^3 & t^2 & \dots & 1 \end{bmatrix}}_T \underbrace{\begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & \ddots & \ddots \\ \vdots & \ddots & \ddots \end{bmatrix}}_{\text{Basis Matrix } M (4 \times 4)} \underbrace{\begin{bmatrix} G_{x,3} & G_{y,3} & G_{z,3} \\ G_{x,2} & G_{y,2} & G_{z,2} \\ G_{x,1} & G_{y,1} & G_{z,1} \\ G_{x,0} & G_{y,0} & G_{z,0} \end{bmatrix}}_{\text{Geometry Matrix } G (4 \times 3)} =$$

$$\underbrace{\begin{bmatrix} t^3 & t^2 & \dots & 1 \end{bmatrix}}_{\text{Basis Functions}} \underbrace{\begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & \ddots & \ddots \\ \vdots & \ddots & \ddots \end{bmatrix}}_{M_H} \underbrace{\begin{bmatrix} P_0^T \\ P_1^T \\ P_0^T \\ P_1^T \end{bmatrix}}_{G_H}$$

Matrix Representation

- For cubic Hermite interpolation we obtain:

$$\begin{aligned} P_0^T &= (0 \ 0 \ 0 \ 1) \mathbf{M}_H \mathbf{G}_H \\ P_1^T &= (1 \ 1 \ 1 \ 1) \mathbf{M}_H \mathbf{G}_H \\ P_0'^T &= (0 \ 0 \ 1 \ 0) \mathbf{M}_H \mathbf{G}_H \\ P_1'^T &= (3 \ 2 \ 1 \ 0) \mathbf{M}_H \mathbf{G}_H \end{aligned} \quad \text{or} \quad \begin{pmatrix} P_0^T \\ P_1^T \\ P_0'^T \\ P_1'^T \end{pmatrix} = \mathbf{G}_H = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{pmatrix} \mathbf{M}_H \mathbf{G}_H$$

- **Solution:**

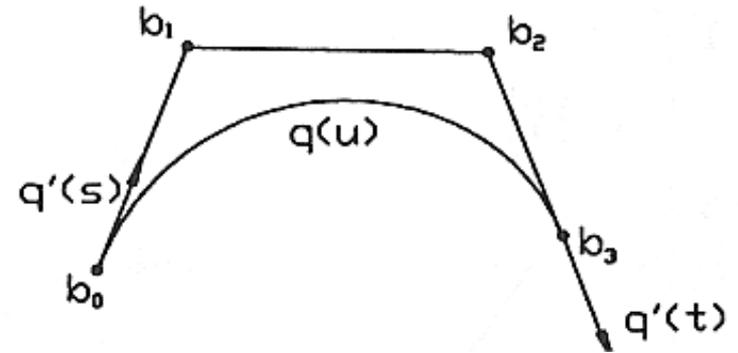
- Two matrices must multiply to unit matrix

$$\mathbf{M}_H = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$

Bézier

- **Bézier Basis [deCasteljau '59, Bézier '62]**

- Different curve representation
- Start and end point
- 2 point that are approximated by the curve (cubics)
- $P'_0 = 3(b_1 - b_0)$ and $P'_1 = 3(b_3 - b_2)$
 - Factor 3 due to derivative of t^3



$$G_H = \begin{bmatrix} P_0^T \\ P_1^T \\ P'_0{}^T \\ P'_1{}^T \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ -3 & 3 & 0 & 0 \\ 0 & 0 & -3 & 3 \end{bmatrix} \begin{bmatrix} b_0^T \\ b_1^T \\ b_2^T \\ b_3^T \end{bmatrix} = M_{HB} G_B$$

Basis transformation

- **Transformation**

- $P(t) = T M_H G_H = T M_H (M_{HB} G_B) = T (M_H M_{HB}) G_B = T M_B G_B$

$$M_B = M_H M_{HB} = \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

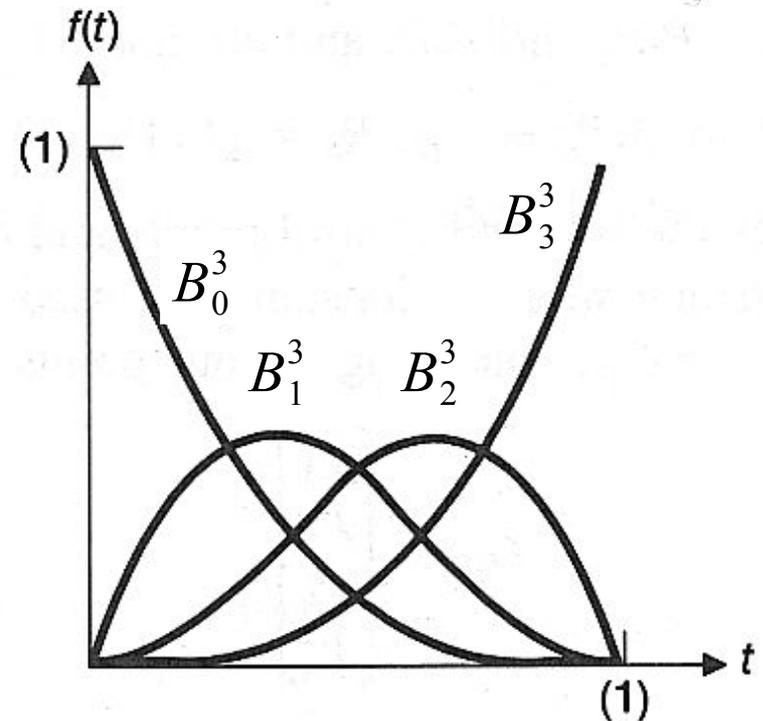
$$P(t) = \sum_{i=0}^n B_i^n(t) b_i = (1-t)^3 b_0 + 3t(1-t)^2 b_1 + 3t^2(1-t) b_2 + t^3 b_3$$

- **Bézier Basis**

$$B_i^n(t) = \binom{n}{i} t^i (1-t)^{n-i}$$

- Basis functions:

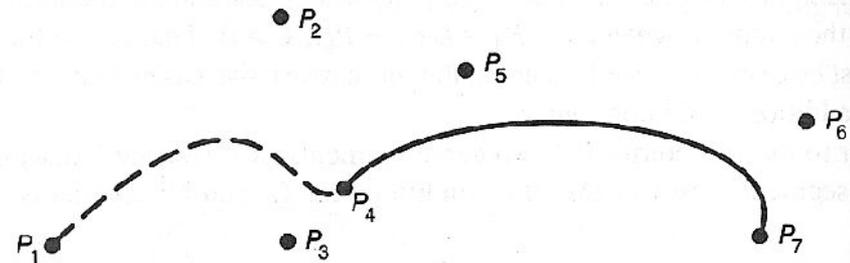
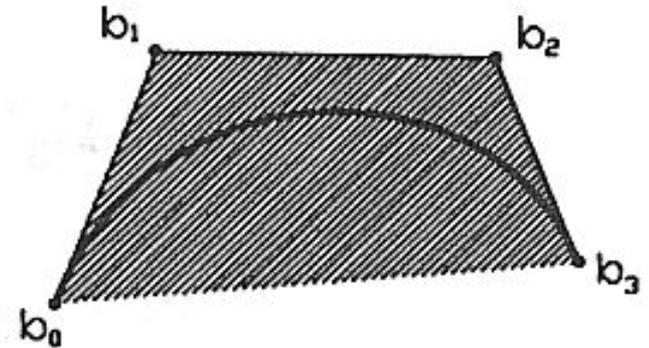
Bernstein polynomials



Properties: Bézier

- **Advantages:**

- End point interpolation
- Tangents explicitly specified
- Smooth joints are simple
 - P_3, P_4, P_5 collinear $\rightarrow G^1$ continuous
- Geometric meaning of control points
- Affine invariance
 - $\forall \sum B_i(t) = 1$
- Convex hull property
 - For $0 < t < 1$: $B_i(t) \geq 0$
- Symmetry: $B_i(t) = B_{n-i}(1-t)$



- **Disadvantages**

- Smooth joints need to be maintained explicitly
 - Automatic in B-Splines (and NURBS)

DeCasteljau Algorithm

- **Direct evaluation of the basis functions**
 - Simple but expensive
- **Use recursion**
 - Recursive definition of the basis functions

$$B_i^n(t) = tB_{i-1}^{n-1}(t) + (1-t)B_i^{n-1}(t)$$

- Inserting this once yields:

$$P(t) = \sum_i^n b_i^0 B_i^n(t) = \sum_i^{n-1} b_i^1(t) B_i^{n-1}(t)$$

- with the new Bézier points given by the recursion

$$b_i^k(t) = tb_{i+1}^{k-1}(t) + (1-t)b_i^{k-1}(t) \quad \text{and} \quad b_i^0(t) = b_i$$

DeCasteljau Algorithm

- **DeCasteljau-Algorithm:**

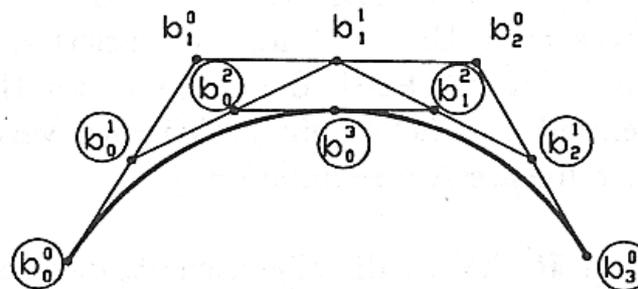
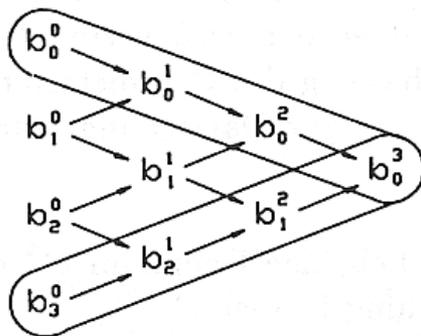
- Recursive degree reduction of the Bezier curve by using the recursion formula for the Bernstein polynomials

$$P(t) = \sum_{i=0}^n b_i^0 B_i^n(t) = \sum_{i=0}^{n-1} b_i^1(t) B_i^{n-1}(t) = \dots = b_i^n(t) \cdot 1$$

$$b_i^k(t) = t b_{i+1}^{k-1}(t) + (1-t) b_i^{k-1}(t)$$

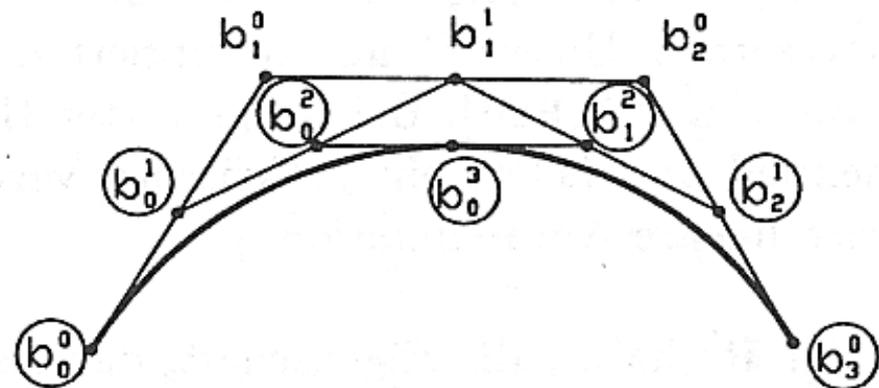
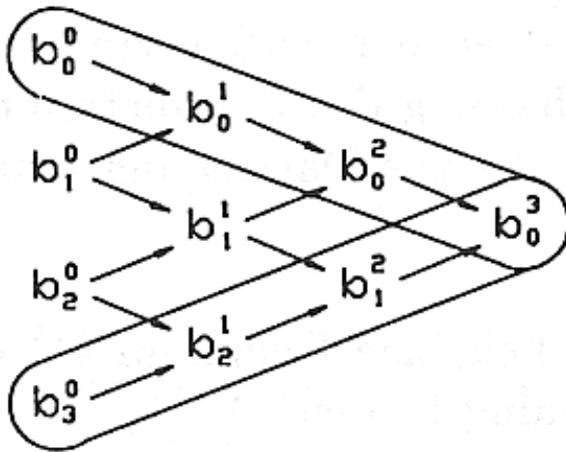
- **Example:**

- $t = 0.5$



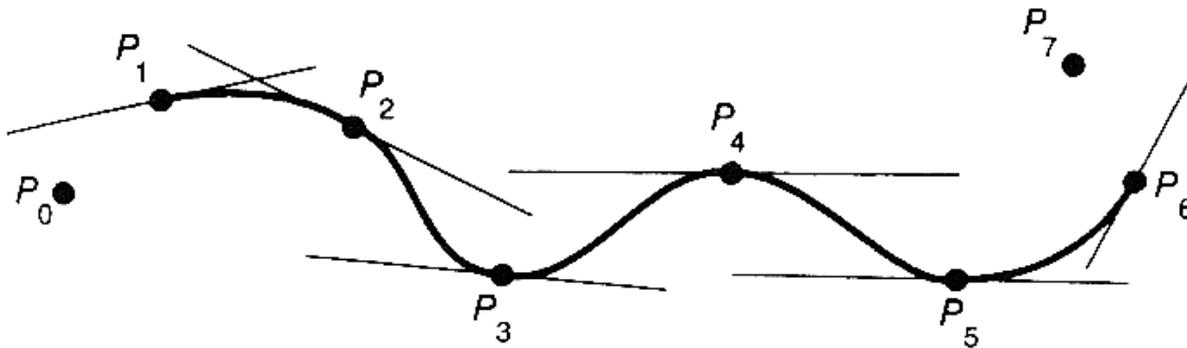
DeCasteljau Algorithm

- **Subdivision using the deCasteljau-Algorithm**
 - Take boundaries of the deCasteljau triangle as new control points for left/right portion of the curve
- **Extrapolation**
 - Backwards subdivision
 - Reconstruct triangle from one side



Catmull-Rom-Splines

- **Goal**
 - Smooth (C^1)-joints between (cubic) spline segments
- **Algorithm**
 - Tangents given by neighboring points P_{i-1} P_{i+1}
 - Construct (cubic) Hermite segments
- **Advantage**
 - Arbitrary number of control points
 - Interpolation without overshooting
 - Local control



Matrix Representation

- **Catmull-Rom-Spline**

- Piecewise polynomial curve
- Four control points per segment
- For n control points we obtain (n-3) polynomial segments

$$\underline{P}^i(t) = T \mathbf{M}_{CR} G_{CR} = T \frac{1}{2} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 2 & -5 & 4 & 1 \\ -1 & 0 & 1 & 0 \\ 0 & 2 & 0 & 0 \end{bmatrix} \begin{bmatrix} \underline{P}_{i-1}^T \\ \underline{P}_{i+1}^T \\ \underline{P}_{i+2}^T \\ \underline{P}_{i+3}^T \end{bmatrix}$$

- **Application**

- Smooth interpolation of a given sequence of points
- Key frame animation, camera movement, etc.
- Only G¹-continuity
- Control points should be equidistant in time

Choice of Parameterization

- **Problem**

- Often only the control points are given
- How to obtain a suitable parameterization t_i ?

- **Example: Chord-Length Parameterization**

$$t_0 = 0$$

$$t_i = \sum_{j=1}^i \text{dist}(P_j - P_{j-1})$$

- Arbitrary up to a constant factor

- **Warning**

- Distances are not affine invariant !
- Shape of curves changes under transformations !!

Parameterization

- **Chord-Length versus uniform Parameterization**
 - Analog: Think $P(t)$ as a moving object with mass that may overshoot

