



1. Knowledge-based agents
2. Wumpus world
3. Logic in general—models and entailment
4. Propositional (Boolean) logic
5. Equivalence, validity, satisfiability
6. Inference rules and theorem proving
  - forward chaining
  - backward chaining
  - resolution
7. Propositional knowledge-based agents
8. Boolean circuit agents



## Conjunctive Normal Form (CNF—universal)

**conjunction** of **disjunctions** of **literals**  
**clauses**

E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$



## Conjunctive Normal Form (CNF—universal)

**conjunction** of **disjunctions** of **literals**  
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E.g.,  $(A \vee \neg B) \wedge (B \vee \neg C \vee \neg D)$

**Resolution** inference rule (for CNF): complete for propositional logic

$$\frac{l_1 \vee \cdots \vee l_k \quad m_1 \vee \cdots \vee m_n}{l_1 \vee \cdots \vee l_{i-1} \vee l_{i+1} \vee \cdots \vee l_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \vee \cdots \vee m_n}$$

where  $l_i$  and  $m_j$  are complementary literals.



- Example:

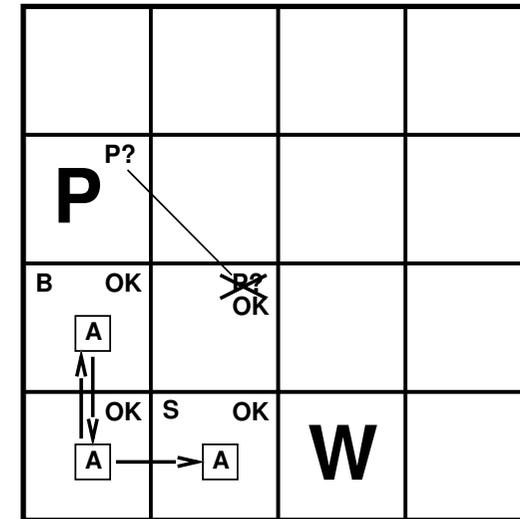
$$\frac{P_{1,3} \vee P_{2,2} \quad \neg P_{2,2}}{P_{1,3}}$$

- Resolution is sound and complete for propositional logic
- Soundness:

$$\frac{C \vee I \quad D \vee \neg I}{C \vee D}$$

where  $l_i$  and  $m_j$  are complementary literals.

**Soundness:** Prove  $C \vee I, D \vee \neg I \models C \vee D$





$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

1. Eliminate  $\Leftrightarrow$ , replacing  $\alpha \Leftrightarrow \beta$  with  $(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)$ .

$$(B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$$

2. Eliminate  $\Rightarrow$ , replacing  $\alpha \Rightarrow \beta$  with  $\neg\alpha \vee \beta$ .

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg(P_{1,2} \vee P_{2,1}) \vee B_{1,1})$$

3. Move  $\neg$  inwards using de Morgan's rules and double-negation:

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge ((\neg P_{1,2} \wedge \neg P_{2,1}) \vee B_{1,1})$$

4. Apply distributivity law ( $\vee$  over  $\wedge$ ) and flatten:

$$(\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg P_{1,2} \vee B_{1,1}) \wedge (\neg P_{2,1} \vee B_{1,1})$$

# Resolution algorithm



Proof by contradiction, i.e., show  $KB \wedge \neg\alpha$  unsatisfiable

```
function PL-RESOLUTION( $KB, \alpha$ ) returns true or false
  inputs:  $KB$ , the knowledge base, a sentence in propositional logic
          $\alpha$ , the query, a sentence in propositional logic

   $clauses \leftarrow$  the set of clauses in the CNF representation of  $KB \wedge \neg\alpha$ 
   $new \leftarrow \{ \}$ 
  loop do
    for each  $C_i, C_j$  in  $clauses$  do
       $resolvents \leftarrow$  PL-RESOLVE( $C_i, C_j$ )
      if  $resolvents$  contains the empty clause then return true
       $new \leftarrow new \cup resolvents$ 
    if  $new \subseteq clauses$  then return false
   $clauses \leftarrow clauses \cup new$ 
```



# Resolution example



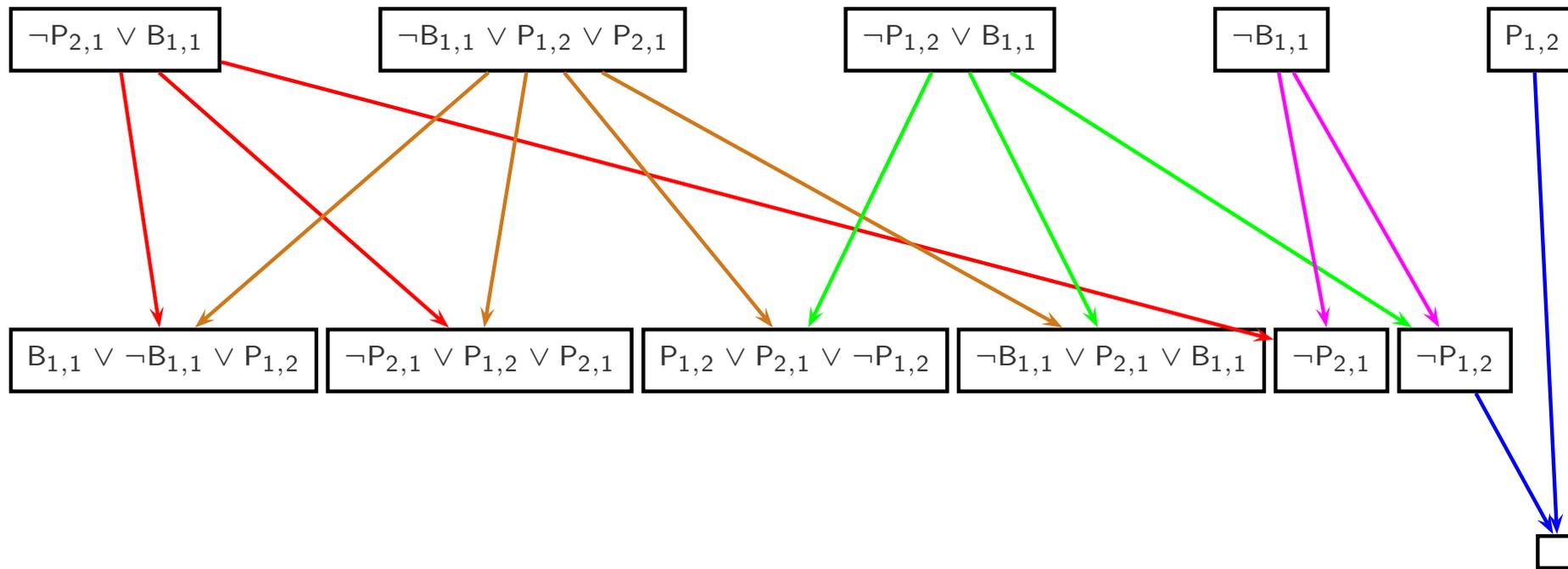
$$KB = (B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})) \wedge \neg B_{1,1} \quad \alpha = \neg P_{1,2}$$



# Resolution example



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# 7. Propositional knowledge-based agents



# Agents Based on Propositional Logic



- Agents that use inference and a knowledge base
  - ▶ Finding pits and wumpuses using logical inference
  - ▶ Keeping track of location and orientation
- Circuit-based agents





- Instance of the generic **knowledge-based agents**:

```
function KB-AGENT(percept) returns an action
  static: KB, a knowledge base
          t, a counter, initially 0, indicating time

  TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
  action ← ASK(KB, MAKE-ACTION-QUERY(t))
  TELL(KB, MAKE-ACTION-SENTENCE(action, t))
  t ← t + 1
  return action
```

- Agent that reasons about **location of pits, wumpuses**, and **safe squares**.
- Modelling starts with a KB that states “**physics**” of the Wumpus world:

# Physics of the Wumpus World



- $[1, 1]$  contains no pit or wumpus:

$$\neg P_{1,1} \wedge \neg W_{1,1}$$



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- $[1, 1]$  contains no pit or wumpus:
- For each square  $[i, j]$ , a breeze can arise...

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**$[n = 4, 64 \text{ sentences}]$**



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$$S_{i,j} \Leftrightarrow (W_{i,j+1} \vee W_{i,j-1} \vee W_{i+1,j} \vee P_{i-1,j})$$



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- ▶ ... at least one

$$W_{1,1} \vee W_{1,2} \vee \dots \vee W_{4,3} \vee W_{4,4}$$



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?

- ▶ For any two squares, one must be empty

- ▶ For  $x$  squares we get  $\frac{x(x-1)}{2}$  sentences  $\neg W_{1,1} \vee \neg W_{1,2}$

For  $n = 4$  we have 16 squares  $W_{i,j}$  and

[120 sentences]



# Provable and Possibly Safe Squares



- A square  $[i, j]$  is **provably safe**, if  $KB \models (\neg P_{i,j} \wedge \neg W_{i,j})$   
There is no pitch and no wumpus
- A square  $[i, j]$  is **possibly safe**, if  $KB \not\models (P_{i,j} \vee W_{i,j})$   
It cannot deduce that there is a pitch or a wumpus



# Wumpus World Agent using PL



**function** PL-WUMPUS-AGENT(*percept*) **returns** an *action*

**inputs:** *percept*, a list, [*stench*, *breeze*, *glitter*]

**static:** *KB*, a knowledge base, initially containing the ‘‘physics’’ of the wumpus world  
*x, y, orientation*, the agent’s position (init. [1,1]) and orientation (init. *right*)

*visited*, an array indicating which squares have been visited, initially *false*

*action*, the agent’s most recent action, initially null

*plan*, an action sequence, initially empty

update *x, y, orientation, visited* based on *action*

**if** *stench* **then** TELL(*KB*,  $S_{x,y}$ ) **else** TELL(*KB*,  $\neg S_{x,y}$ )

**if** *breeze* **then** TELL(*KB*,  $B_{x,y}$ ) **else** TELL(*KB*,  $\neg B_{x,y}$ )

**if** *glitter* **then** *action*  $\leftarrow$  *grab*

**else if** *plan* is nonempty **then** *action*  $\leftarrow$  POP(*plan*)

**else if** for some fringe square [*i, j*], ASK(*KB*,  $(\neg P_{i,j} \wedge \neg W_{i,j})$ ) is *true* **or**

for some fringe square [*i, j*], ASK(*KB*,  $(P_{i,j} \vee W_{i,j})$ ) is *false* **then do**

*plan*  $\leftarrow$  A\*-GRAPH-SEARCH(ROUTE-PROBLEM( $[x, y]$ , *orientation*, [*i, j*], *visited*))

*action*  $\leftarrow$  POP(*plan*)

**else** *action*  $\leftarrow$  a randomly chosen move

**return** *action*



# Keeping track of location and orientation



So far: Agent maintains *location* and *orientation* outside of *KB*.

Now: Integrate information into *KB*

- First try: Use locations  $L_{i,j}$  to indicate that the agent is  $[i, j]$



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- ▶ Sentences:

$$L_{1,1} \wedge \text{FacingRight} \wedge \text{Forward} \Rightarrow L_{2,1} \quad (1)$$

$$L_{2,1} \wedge \text{FacingRight} \wedge \text{Forward} \Rightarrow L_{3,1} \quad (2)$$

$$L_{3,1} \wedge \text{FacingRight} \wedge \text{Forward} \Rightarrow L_{4,1} \quad (3)$$

$$L_{4,1} \wedge \text{FacingRight} \wedge \text{Forward} \Rightarrow L_{4,1} \quad (4)$$

and analogously for FacingLeft, FacingUp, FacingDown,  
Forward, TurnLeft, TurnRight, and all  $L_{i,j}$

**$[n = 4, 16 \times 4 \times 3 = 192 \text{ Sentences}]$**



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**$[n = 4, 16 \times 4 \times 3 = 192 \text{ Sentences}]$**

- **Strange:** Apply (1) **adds**  $L_{2,1}$ , and so on...

So in which location is the agent then?  $L_{1,1}??, L_{2,1}??, \dots$



# Need to track location over time!



Use locations  $L_{i,j}^t$  to indicate that Wumpus is in  $[i,j]$  at time  $t$ .

- Init:  $L_{1,1}^1$



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$$L_{1,1}^n \wedge \text{FacingRight}^t \wedge \text{Forward}^t \Rightarrow L_{2,1}^{n+1}$$

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and analogously for FacingLeft, FacingUp, FacingDown, Forward, TurnLeft, TurnRight, and all  $L_{i,j}^t$

Allowing the agent to do at most  $t = 100$  steps

**$[n = 4, 16 \times 4 \times 3 \times 100 = 19.200 \text{ Sentences}]$**





## 8. Boolean circuit agents





To track location and orientation, for the knowledge-based agent we have

☹ to impose an upper bound of steps it can make ( $t$ )

☹ and nevertheless get thousands of sentences...  
... most of which are never used!!

Only one out of 192

≈ 0.52%

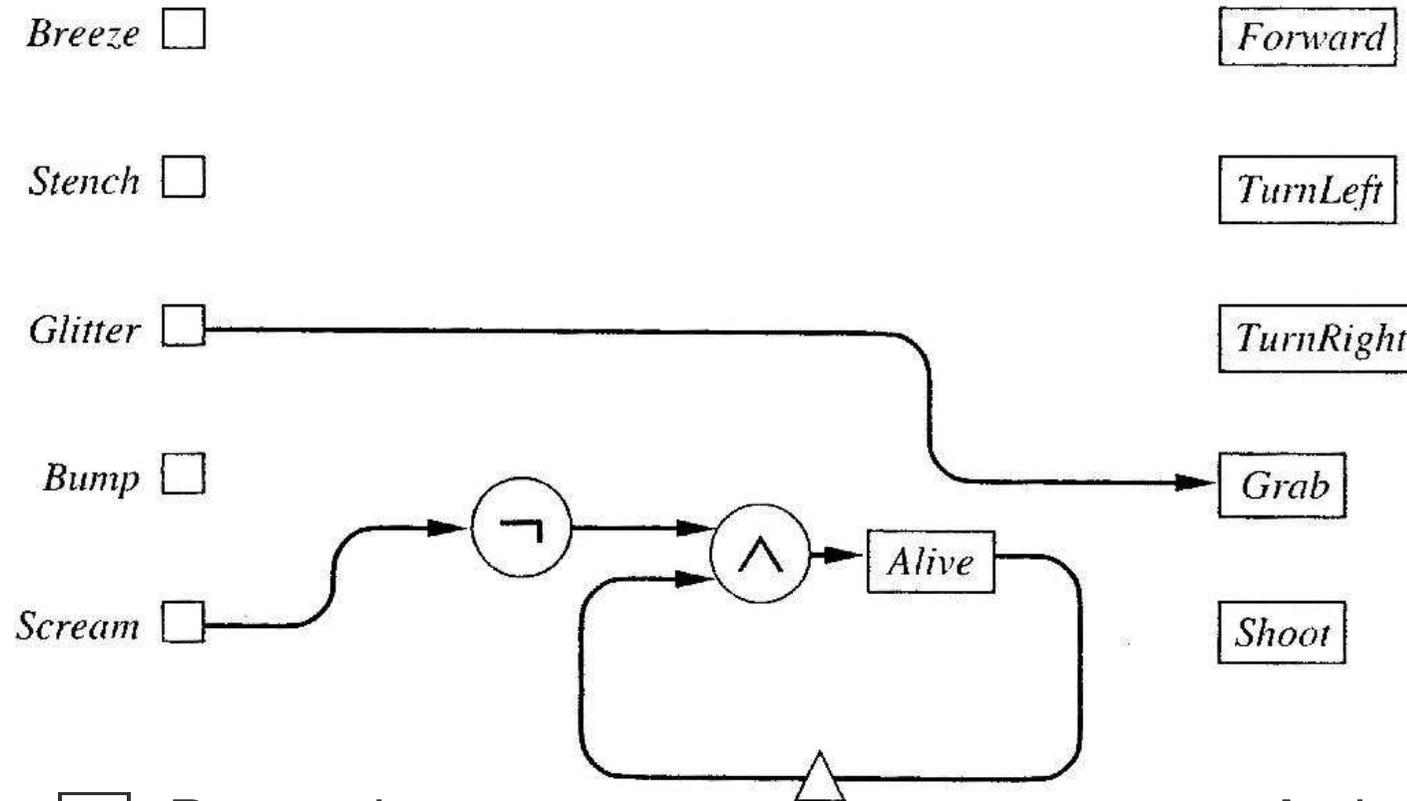
A partial solution to remedy that problem: **circuit based agents**

Intuition:

- Describe the transitions from  $t$  to  $t + 1$
- Use recursion (**How?**)



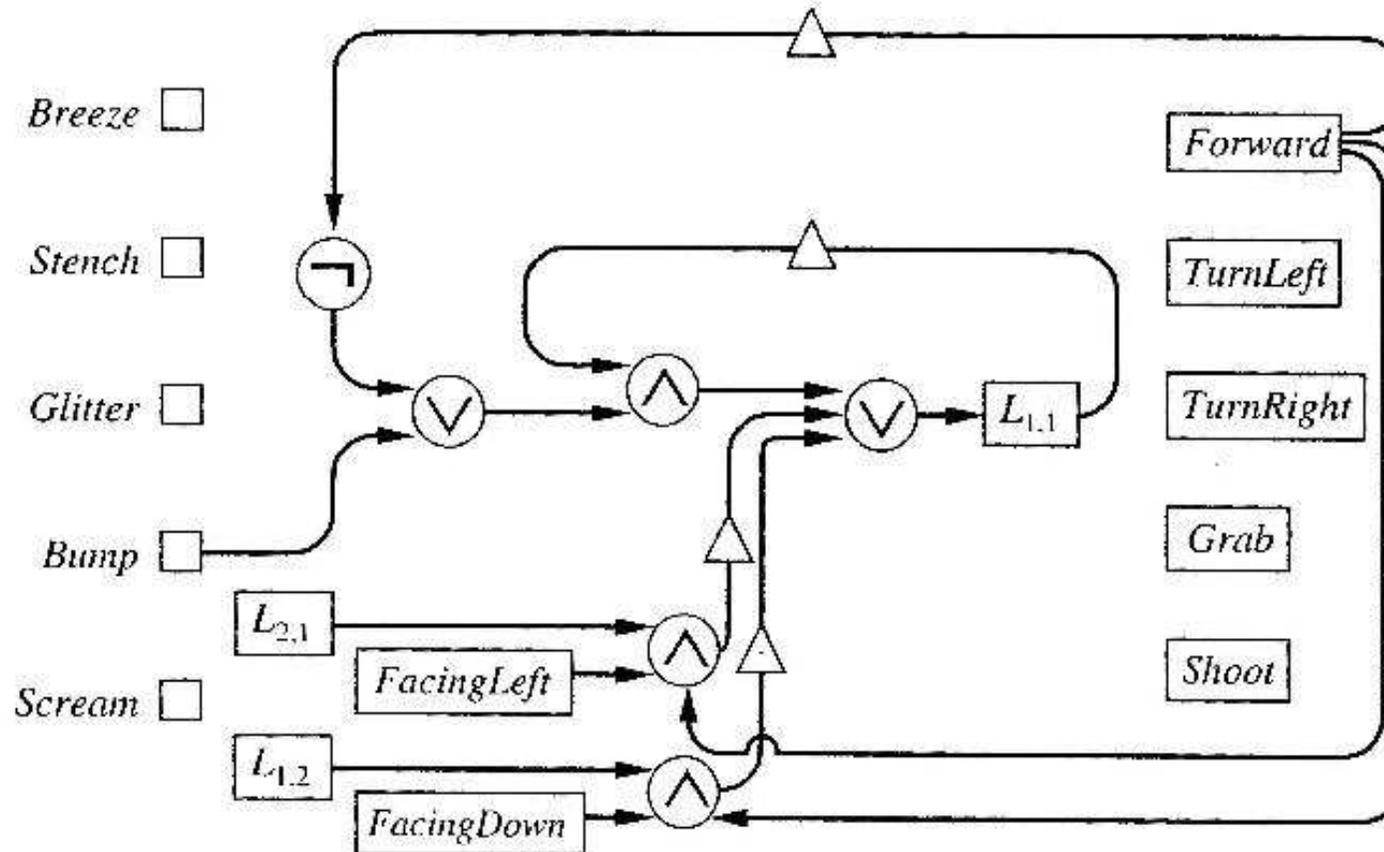
# Circuit-Agent Parts



- $Breeze$  : Perceptions
  - $\neg Scream^t \wedge Alive^t \Rightarrow Alive^{t+1}$
  - Propositional variables as **registers**  $Alive$ ,  $Forward$
  - Logical connectives as **gates**  $\neg$ ,  $\wedge$
- Actions  $Forward$   
 $Glitter^t \Rightarrow Grab^t$



# Circuit-Agent Parts



- $L_{2,1}^t \wedge \text{FacingLeft} \wedge \text{Forward}^t \Rightarrow L_{1,1}^{t+1}$
- $\neg \text{Forward}^t \wedge L_{1,1}^t \Rightarrow L_{1,1}^{t+1}$
- Sharing of “Inputs” via  $\bigvee$  gates



# Unsatisfactory Things



- The KB contains many equivalences

$$B_{i,j} \Leftrightarrow (P_{x,y+1} \vee P_{x,y-1} \vee P_{x+1,y} \vee P_{x-1,y})$$

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We would prefer (**Why?**) to express once and for all how breezes and stenches can arise.



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- For the knowledge-based agent, the KB contains thousands of sentences to track location and orientation

$$L_{i,j}^t \wedge \text{FacingRight} \wedge \text{Forward} \Rightarrow L_{i+1,j}^{t+1}$$

We would prefer to express once what happens if the agent goes to the right



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We would prefer to express once what happens if the agent goes to the right

- Is there another way than using time  $t$  to obtain consistent state updates?

I.e., not ending with KBs where all  $L_{1,1}, L_{2,1}, \dots$  where  $[i, j]$  have been visited?





- Logical agents apply **inference** to a **knowledge base** to derive new information and make decisions
- Basic concepts of logic:
  - ▶ **syntax**: formal structure of **sentences**
  - ▶ **semantics**: **truth** of sentences wrt **models**
  - ▶ **entailment**: necessary truth of one sentence given another
  - ▶ **inference**: deriving sentences from other sentences
  - ▶ **soundness**: derivations produce only entailed sentences
  - ▶ **completeness**: derivations can produce all entailed sentences
- Wumpus world requires the ability to represent partial and negated information, reason by cases, etc.
- **Forward, backward chaining** are linear-time, complete for Horn clauses
- **Resolution** is complete for propositional logic
- Propositional logic lacks expressive power





# First-order logic

## Chapter 8





- Why FOL?
- Syntax and semantics of FOL
- Fun with sentences
- Wumpus world in FOL





# Why FOL?



# Pros and cons of propositional logic



- ☺ Propositional logic is **declarative**: pieces of syntax correspond to facts



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- 😊 Propositional logic is **declarative**: pieces of syntax correspond to facts
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meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$



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- 😊 Meaning in propositional logic is **context-independent**  
(unlike natural language, where meaning depends on context)
- 😞 Propositional logic has very limited expressive power  
(unlike natural language)  
  
E.g., cannot say “pits cause breezes in adjacent squares”





# Syntax and semantics of FOL





Whereas propositional logic assumes world contains **facts**,  
first-order logic (like natural language) assumes the world contains

- **Objects**: people, houses, numbers, theories, Ronald McDonald, colors, baseball games, wars, centuries ...





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- **Relations**: red, round, bogus, prime, multistoried . . . ,  
brother of, bigger than, inside, part of, has color, occurred after, owns,  
comes between, . . .





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- **Relations**: red, round, bogus, prime, multistoried ..., brother of, bigger than, inside, part of, has color, occurred after, owns, comes between, ...
- **Functions**: father of, best friend, third inning of, one more than, end of ...



Language	Ontological Commitment	Epistemological Commitment
Propositional logic	facts	true/false/unknown
First-order logic	facts, objects, relations	true/false/unknown
Temporal logic	facts, objects, relations, times	true/false/unknown
Probability theory	facts	degree of belief
Fuzzy logic	facts + degree of truth	known interval value

# Syntax of FOL: Basic elements



Constants	KingJohn, 2, UCB, ...
Predicates	Brother, >, ...
Functions	Sqrt, LeftLegOf, ...
Variables	x, y, a, b, ...
Connectives	$\wedge$ $\vee$ $\neg$ $\Rightarrow$ $\Leftrightarrow$
Equality	=
Quantifiers	$\forall$ $\exists$



# Atomic sentences



Atomic sentence = predicate( $\text{term}_1, \dots, \text{term}_n$ )  
or  $\text{term}_1 = \text{term}_2$

Term = function( $\text{term}_1, \dots, \text{term}_n$ )  
or constant or variable

E.g., Brother(KingJohn, RichardTheLionheart)  
> (Length(LeftLegOf(Richard)), Length(LeftLegOf(KingJohn)))



# Complex sentences



Complex sentences are made from atomic sentences using connectives

$$\neg S, \quad S_1 \wedge S_2, \quad S_1 \vee S_2, \quad S_1 \Rightarrow S_2, \quad S_1 \Leftrightarrow S_2$$

E.g.  $\text{Sibling}(\text{KingJohn}, \text{Richard}) \Rightarrow \text{Sibling}(\text{Richard}, \text{KingJohn})$

$$>(1, 2) \vee \leq(1, 2)$$

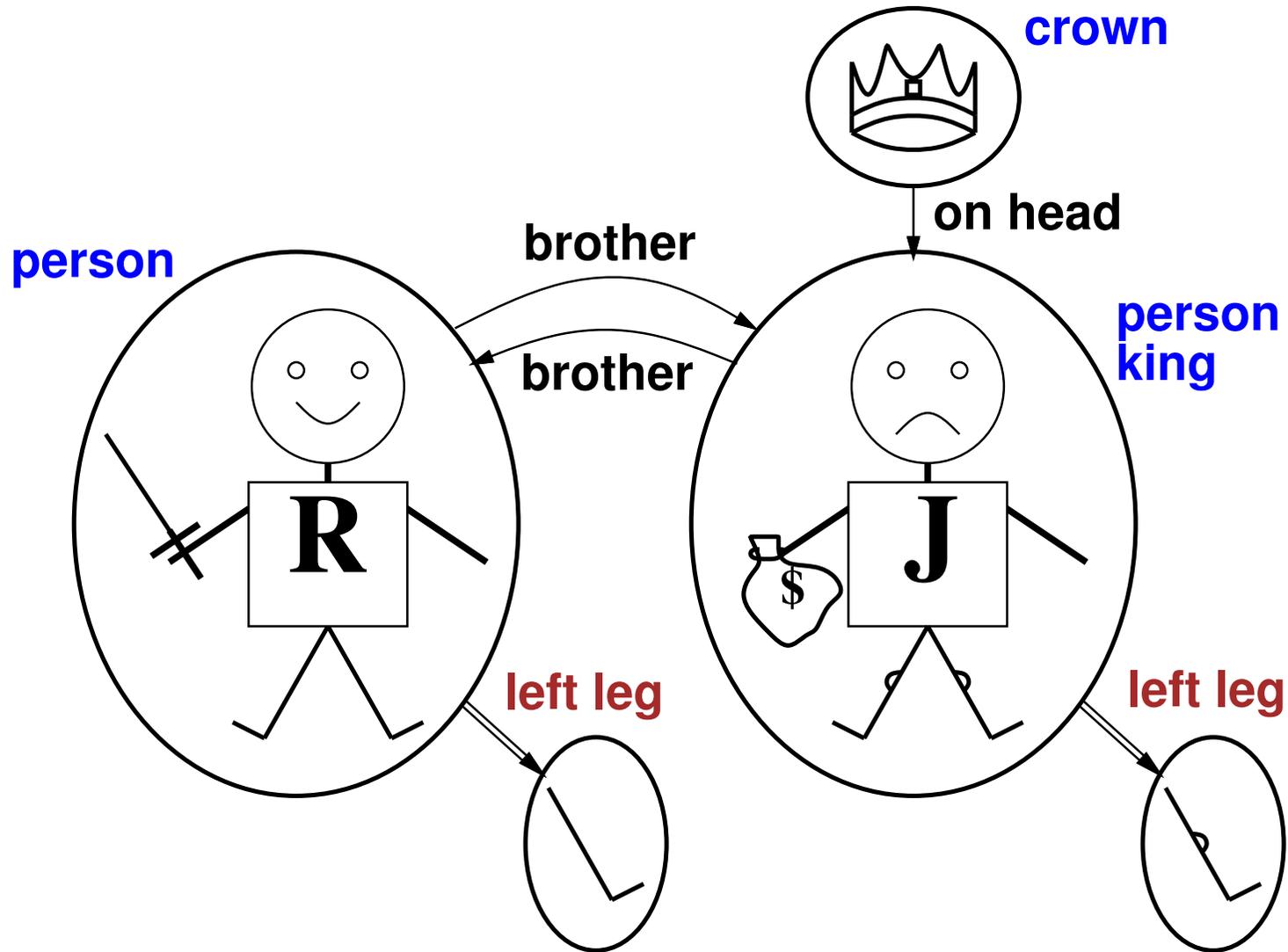
$$>(1, 2) \wedge \neg >(1, 2)$$





- Sentences are true with respect to a **model** and an **interpretation**
- Model contains  $\geq 1$  objects (**domain elements**) and relations among them
- Interpretation specifies referents for
  - constant symbols**  $\rightarrow$  **objects**
  - predicate symbols**  $\rightarrow$  **relations**
  - function symbols**  $\rightarrow$  **functional relations**
- An atomic sentence **predicate**(**term**<sub>1</sub>, . . . , **term**<sub>n</sub>) is true iff the **objects** referred to by **term**<sub>1</sub>, . . . , **term**<sub>n</sub> are in the **relation** referred to by **predicate**

# Models for FOL: Example



# Truth example



Consider the interpretation in which

Richard → Richard the Lionheart

John → the evil King John

Brother → the brotherhood relation

Under this interpretation,  $\text{Brother}(\text{Richard}, \text{John})$  is true just in case Richard the Lionheart and the evil King John are in the brotherhood relation in the model



# Models for FOL: Lots!



- Entailment in propositional logic can be computed by enumerating models
- We **can** enumerate the FOL models for a given KB vocabulary:

For each number of domain elements  $n$  from 1 to  $\infty$

- For each  $k$ -ary predicate  $P_k$  in the vocabulary



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Computing entailment by enumerating FOL models is not easy!



# Universal quantification



- $\forall$   $\langle$ variables $\rangle$   $\langle$ sentence $\rangle$



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- $\forall$   $\langle$ variables $\rangle$   $\langle$ sentence $\rangle$
- Everyone at *Saarbrücken* is smart:



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$$\forall x \text{ At}(x, \text{Saarbruecken}) \Rightarrow \text{Smart}(x)$$



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$$\begin{aligned} & (\text{At}(\text{KingJohn}, \text{Saarbruecken}) \Rightarrow \text{Smart}(\text{KingJohn})) \\ \wedge & (\text{At}(\text{Richard}, \text{Saarbruecken}) \Rightarrow \text{Smart}(\text{Richard})) \\ \wedge & (\text{At}(\text{Saarbruecken}, \text{Saarbruecken}) \Rightarrow \text{Smart}(\text{Saarbruecken})) \\ \wedge & \dots \end{aligned}$$



# A common mistake to avoid



- Typically,  $\Rightarrow$  is the main connective with  $\forall$
- Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ At}(x, \text{Saarbruecken}) \wedge \text{Smart}(x)$$

means “Everyone is at *Saarbrücken* and everyone is smart”



# Existential quantification



- $\exists \langle \text{variables} \rangle \langle \text{sentence} \rangle$
- Someone at Stanford is smart:  
$$\exists x \text{ At}(x, \text{Stanford}) \wedge \text{Smart}(x)$$
- $\exists x P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **some** possible object in the model
- **Roughly** speaking, equivalent to the **disjunction** of **instantiations** of  $P$ 
  - $(\text{At}(\text{KingJohn}, \text{Stanford}) \wedge \text{Smart}(\text{KingJohn}))$
  - ✓  $(\text{At}(\text{Richard}, \text{Stanford}) \wedge \text{Smart}(\text{Richard}))$
  - ✓  $(\text{At}(\text{Stanford}, \text{Stanford}) \wedge \text{Smart}(\text{Stanford}))$
  - ✓ ...



## Another common mistake to avoid



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- Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{Stanford}) \Rightarrow \text{Smart}(x)$$

is true if there is anyone who is not at Stanford!





- Brothers are siblings

$\forall x, y \text{ Brother}(x, y) \Rightarrow \text{Sibling}(x, y).$



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- A first cousin is a child of a parent’s sibling  
 $\forall x, y \text{ FirstCousin}(x, y) \Leftrightarrow \exists p, ps \text{ Parent}(p, x) \wedge \text{Sibling}(ps, p) \wedge \text{Parent}(ps, y)$



- $term_1 = term_2$  is true under a given interpretation if and only if  $term_1$  and  $term_2$  refer to the same object
- Example:
  - $1 = 2$  and  $\forall x \ x(Sqrt(x), Sqrt(x)) = x$  are satisfiable
  - $2 = 2$  is valid
- Example: Definition of (full) **Sibling** in terms of **Parent**:

$$\forall x, y \ \text{Sibling}(x, y) \Leftrightarrow \left[ \begin{array}{l} \neg(x = y) \\ \wedge \exists m, f \left[ \begin{array}{l} \neg(m = f) \\ \wedge \text{Parent}(m, x) \\ \wedge \text{Parent}(f, x) \\ \wedge \text{Parent}(m, y) \\ \wedge \text{Parent}(f, y) \end{array} \right] \end{array} \right]$$



# Wumpus World in FOL





- Suppose a wumpus-world agent is using an FOL KB and perceives a smell and a breeze (but no glitter) at  $t = 5$ :



- Suppose a wumpus-world agent is using an FOL KB and perceives a smell and a breeze (but no glitter) at  $t = 5$ :

Tell(KB, Percept([Smell, Breeze, None], 5))

Ask(KB,  $\exists a$  Action(a, 5))



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- Ask(KB,  $S$ ) returns some/all  $\sigma$  such that  $\text{KB} \models S\sigma$



- “Perception”

$$\forall b, g, t \text{ Percept}([\text{Smell}, b, g], t) \Rightarrow \text{Smelt}(t)$$

$$\forall s, b, t \text{ Percept}([s, b, \text{Glitter}], t) \Rightarrow \text{AtGold}(t)$$

- Reflex:  $\forall t \text{ AtGold}(t) \Rightarrow \text{Action}(\text{Grab}, t)$

- Reflex with internal state: do we have the gold already?

$$\forall t \text{ AtGold}(t) \wedge \neg \text{Holding}(\text{Gold}, t) \Rightarrow \text{Action}(\text{Grab}, t)$$

$\text{Holding}(\text{Gold}, t)$  cannot be observed

$\Rightarrow$  keeping track of change is essential



- Properties of locations:  $\forall x, t \text{ At}(\text{Agent}, x, t) \wedge \text{Smelt}(t) \Rightarrow \text{Smelly}(x)$   
 $\forall x, t \text{ At}(\text{Agent}, x, t) \wedge \text{Breeze}(t) \Rightarrow \text{Breezy}(x)$

- Squares are breezy near a pit:

- ▶ **Diagnostic** rule—infer cause from effect

$$\forall y \text{ Breezy}(y) \Rightarrow \exists x \text{ Pit}(x) \wedge \text{Adjacent}(x, y)$$

- ▶ **Causal** rule—infer effect from cause

$$\forall x, y \text{ Pit}(x) \wedge \text{Adjacent}(x, y) \Rightarrow \text{Breezy}(y)$$

Neither of these is complete—e.g., the causal rule doesn't say whether squares far away from pits can be breezy

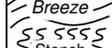
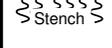
- ▶ **Definition** for the **Breezy** predicate:

$$\forall y \text{ Breezy}(y) \Leftrightarrow [\exists x \text{ Pit}(x) \wedge \text{Adjacent}(x, y)]$$

# Wumpus Physics in FOL



- $B(x, y)$ : There is a breeze in square  $[x, y]$

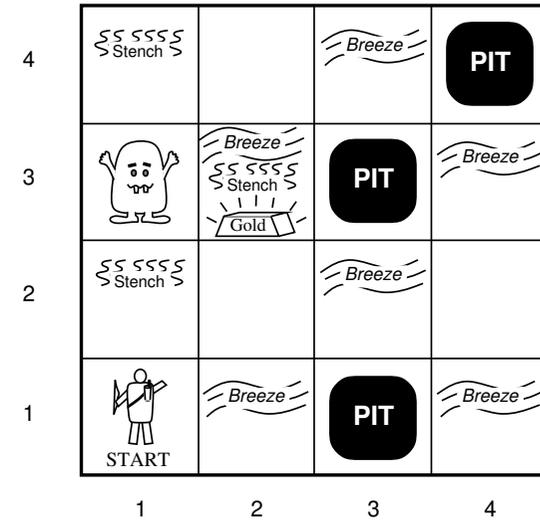
4	 Stench		 Breeze	
3	 Wumpus	 Breeze	 Stench	 Gold
2	 Stench		 Breeze	
1	 START	 Breeze		 Breeze
	1	2	3	4



# Wumpus Physics in FOL



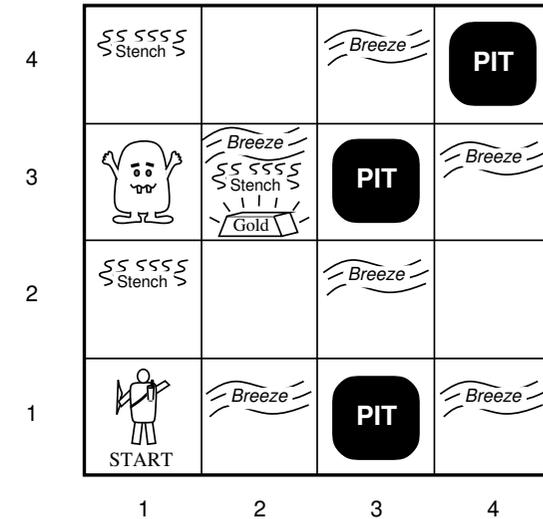
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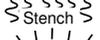
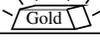
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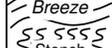
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- Initial state:  $L(1, 1, 1)$
- Deriving knowledge

$$\forall x, y . B(x, y) \Leftrightarrow P(x, y + 1) \vee P(x, y - 1) \vee P(x + 1, y) \vee P(x - 1, y)$$

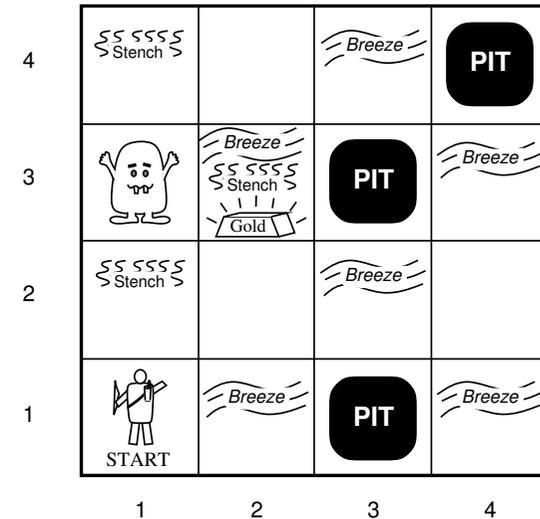
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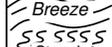
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  - $\forall x, y . B(x, y) \Leftrightarrow P(x, y + 1) \vee P(x, y - 1) \vee P(x + 1, y) \vee P(x - 1, y)$
  - $\forall x, y . S(x, y) \Leftrightarrow W(x, y + 1) \vee W(x, y - 1) \vee W(x + 1, y) \vee W(x - 1, y)$
- There is exactly one wumpus:



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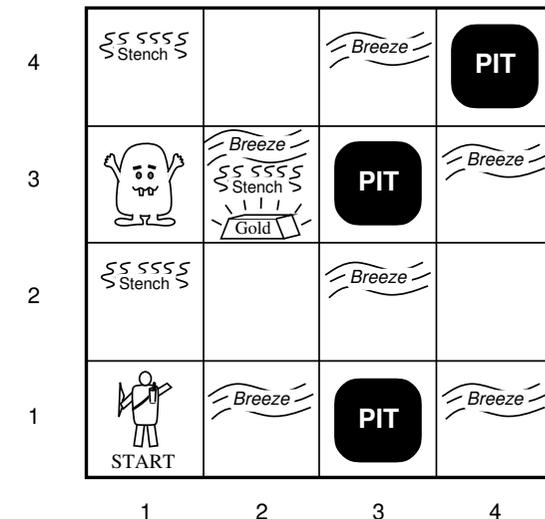
- There is exactly one wumpus:

▶ At least one:  $\exists x, y . W(x, y)$

▶ At most one:  $\forall x, y, u, v . (u \neq x \vee y \neq v) \Rightarrow (\neg W(x, y) \vee \neg W(u, v))$

For any two (different) squares, one must be empty

(was 120 sentences in PL)

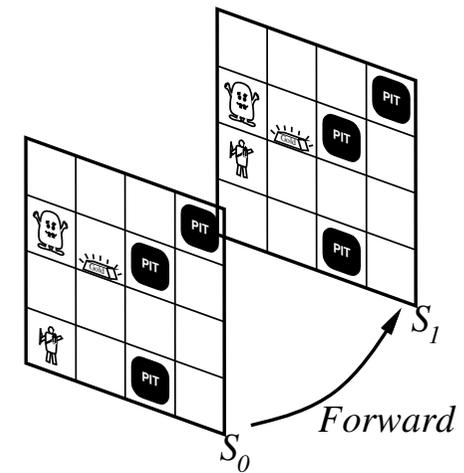


# Keeping track of change



- Facts hold in **situations**, rather than eternally  
E.g., **Holding(Gold, Now)** rather than just **Holding(Gold)**
- **Situation calculus** is one way to represent change in FOL:
  - ▶ Adds a situation argument to each **non-eternal** predicate
  - ▶ Example: **Now** in **Holding(Gold, Now)** denotes a situation
  - ▶ State-dependent predicates are called **fluents**
  - ▶ Situations are connected by the **Result** function
    - ▶ Example: **Result(a, s)** is the situation that results from doing **a** in **s**

$$S_1 = \text{Result}(\text{Forward}, S_0)$$





- “Possibility” axiom — describe when an action is possible

$$\forall s \text{ AtGold}(s) \Rightarrow \text{Poss}(\text{Grab}(\text{Gold}), s)$$

- “Effect” axiom—describe changes due to action

$$\forall s, x \text{ Poss}(\text{Grab}(x), s) \Rightarrow \text{Holding}(x, \text{Result}(\text{Grab}(x), s))$$

- “Frame” axiom—describe **non-changes** due to action

$$\forall s \text{ HaveArrow}(s) \Rightarrow \text{HaveArrow}(\text{Result}(\text{Grab}(\text{Gold}), s))$$

- **Frame problem**: find an elegant way to handle non-change
  - (a) representation—avoid frame axioms
  - (b) inference—avoid repeated “copy-overs” to keep track of state

# Qualification and Ramification Problems



- **Qualification problem:** true descriptions of real actions require endless caveats—what if gold is slippery or nailed down or ...
  - ▶ Description of the world is always an abstraction of the world
  - ▶ Q: How can we ensure that abstraction is adequate, i.e. we didn't leave out important aspects?
- **Ramification problem:** real actions have many secondary consequences—what about the dust on the gold, wear and tear on gloves, ...
  - ▶ Q: What is changing location when you move your bag?





- **Successor-state axioms** solve the representational frame problem

Each axiom is “about” a **predicate** (not an action per se):

Action  $a$  is possible in  $s \implies$

$$\left( \begin{array}{l} P \text{ true after applying } a \iff \\ \left[ \begin{array}{l} \text{the action } a \text{ made } P \text{ true} \\ \vee P \text{ true already and action } a \text{ did not make } P \text{ false} \end{array} \right] \end{array} \right)$$

- For holding the gold:

$$\begin{aligned} \forall a, s \text{ Holding}(\text{Gold}, \text{Result}(a, s)) &\iff \\ &[(a = \text{Grab}(\text{Gold}) \wedge \text{AtGold}(s)) \\ &\vee (\text{Holding}(\text{Gold}, s) \wedge a \neq \text{Release}(\text{Gold}))] \end{aligned}$$



- Initial condition in KB:

$At(\text{Agent}, [1, 1], S_0)$

$At(\text{Gold}, [1, 2], S_0)$

- Query:  $Ask(KB, \exists s \text{ Holding}(\text{Gold}, s))$   
i.e., in what situation will I be holding the gold?

- Answer:  $\{s / \text{Result}(\text{Grab}, \text{Result}(\text{Forward}, S_0))\}$   
i.e., go forward and then grab the gold

- This assumes that the agent is interested in plans starting at  $S_0$  and that  $S_0$  is the only situation described in the KB





- Represent **plans** as action sequences  $[a_1, a_2, \dots, a_n]$
- $\text{PlanResult}(p, s)$  is the result of executing  $p$  in  $s$
- Then the query  $\text{Ask}(\text{KB}, \exists p \text{ Holding}(\text{Gold}, \text{PlanResult}(p, S_0)))$  has the solution  $\{p/[\text{Forward}, \text{Grab}]\}$
- Definition of  $\text{PlanResult}$  in terms of  $\text{Result}$ :
  - $\forall s \text{ PlanResult}([], s) = s$
  - $\forall a, p, s \text{ PlanResult}([a|p], s) = \text{PlanResult}(p, \text{Result}(a, s))$
- **Planning systems** are special-purpose reasoners designed to do this type of inference more efficiently than a general-purpose reasoner

# Summary



- First-order logic:





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  - ▶ objects and relations are semantic primitives



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**Next:** Inference in First-Order Logic





# Inference in first-order logic

## Chapter 9





- Reducing first-order inference to propositional inference
- Unification
- Generalized Modus Ponens
- Forward and backward chaining
- Logic programming
- Resolution

# A brief history of reasoning



450B.C.	<b>Stoics</b>	propositional logic, inference (maybe)
322B.C.	<b>Aristotle</b>	“syllogisms” (inference rules), quantifiers
1565	<b>Cardano</b>	probability theory (propositional logic + uncertainty)
1847	<b>Boole</b>	propositional logic (again)
1879	<b>Frege</b>	first-order logic
1922	<b>Wittgenstein</b>	proof by truth tables
1930	<b>Gödel</b>	$\exists$ complete algorithm for FOL
1930	<b>Herbrand</b>	complete algorithm for FOL (reduce to propositional)
1931	<b>Gödel</b>	$\neg\exists$ complete algorithm for arithmetic
1960	<b>Davis/Putnam</b>	“practical” algorithm for propositional logic
1965	<b>Robinson</b>	“practical” algorithm for FOL—resolution

- Resolution: **Fully Generalized Modus Ponens** + **Unification**
- Completeness by reduction to completeness of resolution for propositional logic.



# Universal instantiation (UI)



Every instantiation of a universally quantified sentence is entailed by it:

$$\frac{\forall v \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

for any variable  $v$  and ground term  $g$ .

E.g.,  $\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$  yields

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John}))$

⋮



# Existential instantiation (EI)



- For any sentence  $\alpha$ , variable  $v$ , and constant symbol  $k$  **that does not appear elsewhere in the knowledge base:**

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

- Why new  $k$ ?  $\text{KB} := \{\neg \text{Sunny}(\text{Today}), \exists \text{day } \text{Sunny}(\text{day})\}$
- Example:  $\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$$\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})$$

provided  $C_1$  is a new constant symbol, called a **Skolem constant**

- Another example: from  $\exists x \text{d}(x^y)/\text{dy} = x^y$  we obtain

$$\text{d}(e^y)/\text{dy} = e^y$$

provided  $e$  is a new constant symbol



# Existential instantiation contd.



- UI can be applied several times to **add** new sentences;
  - ▶ the new KB is logically equivalent to the old
- EI can be applied once to **replace** the existential sentence;
  - ▶ the new KB is **not** equivalent to the old,
  - ▶ but the new KB is satisfiable iff the old KB was satisfiable



# Reduction to propositional inference



Suppose the KB contains just the following:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

Instantiating the universal sentence in **all possible** ways, we have

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

The new KB is **propositionalized**: proposition symbols are

$\text{King}(\text{John})$ ,  $\text{Greedy}(\text{John})$ ,  $\text{Evil}(\text{John})$ ,  $\text{King}(\text{Richard})$  etc.





- **Claim:**

*a ground sentence is entailed by new KB iff entailed by original KB*

- **Claim:**

*every FOL KB can be propositionalized so as to preserve entailment*

- **Idea:** propositionalize KB and query, apply resolution, return result

- **Problem:** with function symbols, there are infinitely many ground terms  
Example:  $\text{Father}(\text{Father}(\text{Father}(\text{John})))$



# Reduction contd.



- Theorem: Herbrand (1930). If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a **finite** subset of the propositional KB
- Idea: For  $n = 0$  to  $\infty$  do  
create a propositional KB by instantiating with depth- $n$  terms  
see if  $\alpha$  is entailed by this KB
- Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed
- Theorem: Turing (1936), Church (1936), entailment in FOL is **semidecidable**



# Problems with propositionalization



- Propositionalization seems to generate lots of irrelevant sentences.
- Example: from

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\forall y \text{ Greedy}(y)$

$\text{Brother}(\text{Richard}, \text{John})$

it seems obvious that  $\text{Evil}(\text{John}), \dots$

- $\dots$  but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant
- With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations
- With function symbols, it gets much much worse!





# Unification





- We can get the inference immediately if we can find a substitution  $\theta$  such that  $\text{King}(x)$  and  $\text{Greedy}(x)$  match  $\text{King}(\text{John})$  and  $\text{Greedy}(y)$
- $\theta = \{x/\text{John}, y/\text{John}\}$  works
- $\text{UNIFY}(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$

p	q	$\theta$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(\text{John}, \text{Jane})$	
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{OJ})$	
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{Mother}(y))$	
$\text{Knows}(\text{John}, x)$	$\text{Knows}(x, \text{OJ})$	



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$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{Mother}(y))$	$\{y/\text{John}, x/\text{Mother}(\text{John})\}$
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$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{OJ})$	$\{x/\text{OJ}, y/\text{John}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{Mother}(y))$	$\{y/\text{John}, x/\text{Mother}(\text{John})\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(x, \text{OJ})$	fail



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- How to compute “unifiers” for two given terms/literals?