

Saarland University

CS 578 – Cryptography

Prof. Michael Backes

Zero-Knowledge Proofs

July 11, 2006

Saarland University

Administrative Announcements

- Q&A Session this Friday
- Last lecture: Next Tuesday (not exam-relevant, covers current research topics)
- Last quiz tomorrow (need 50% overall score)

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Idea of Zero-Knowledge Proofs

Prover

Statement
A is true!



Verifier

Statement
A is true?



Statement
A is true!



...

Statement
A is true!



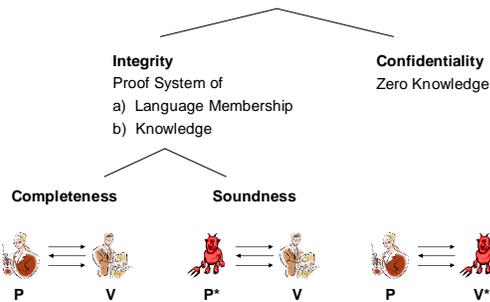
(Zero-Knowledge) Proof Systems

- Proof system: Two-party protocol between prover and verifier.
- Properties of a proof system:
 - **Correctness:** Prover can convince verifier of correct statements
 - **Soundness:** Not even a cheating prover can convince an honest verifier of wrong statements.
- **Zero-Knowledge** (property of the prover):
 - No (potentially dishonest) verifier learns "any new knowledge" from an honest prover.

Classes of Proof Systems

- Two main proof system classes:
 - **Proofs of Language Membership:** Given a language L and an element x, prove that $x \in L$.
 - **Proofs of Knowledge:** Given a binary relation R and an element x, prover "knows" an element w such that $(w,x) \in R$. w is called **witness** for x.
- Examples:
 - Language membership: Prove that a number is a QR.
 - Knowledge: Prove that one knows a square root.

Classes of Proof Systems



Ideas on Proofs of Language Membership

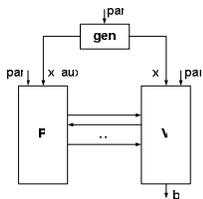
- First, why interactive proof at all?
- First reason: **interactive proofs as extension of NP**:
 - Every language $L \in \text{NP}$ can be seen as interactive proof system:
 - Prover is computationally unrestricted and only sends one message, which is a witness of an NP-statement.
 - Verifier uses witness to decide correctness of the statement in poly-time.
- Second reason: **cryptographic issues**:
 - Prover typically has extra knowledge, e.g., knows a DLog or a root. Auxiliary information must be reflected in the definition.
 - Example: For proving that a number is a QR modulo N , one typically exploits that prover knows prime factors of N .

Definition Language Membership

- Definition (**Interactive Proof System for Language Membership**):
- Parameters: language L , security parameter(s) par .
- Two subprotocols:
 - Generation algorithm **gen**: On input security parameters par , outputs (x, aux) with $x \in L$.
 - Two-party protocol **prove**:
 - P (Prover) needs input $(\text{par}, x, \text{aux})$, does not make final output.
 - V (Verifier) needs input (par, x) and outputs $b \in \text{Bool}$. ($b = 0$ means verifier is convinced)
- Let $\text{Exp}_{P,V}((\text{par}, x, \text{aux}), (\text{par}, x)) = b$ denote the event that V outputs b .

Definition Language Membership

- Prover P and verifier V , and the subprotocols **gen** and **prove**:



Requirements

- **Correct generation:** For all valid parameters par , and $(x, \text{aux}) \in [\text{gen}(\text{par})]$, we have $x \in L$.
- **Completeness:** Correct prover P can always convince correct verifier V :
For all valid par :
 $\Pr[\text{Exp}_{P,V}(\text{par}, x, \text{aux}), (\text{par}, x) = 0; (x, \text{aux}) \leftarrow \text{gen}(\text{par})] = 1$
- **(Information-theoretic) Soundness:** If $x \notin L$, no cheating prover P^* can convince a correct verifier V :
For all probabilistic interactive algorithms P^* , all valid par , and all $x \notin L$ and all aux :
 $\Pr[\text{Exp}_{P^*,V}(\text{par}, x, \text{aux}), (\text{par}, x) = 0] = 2^{-\sigma}$
where σ is a security parameter of par .

Computational Soundness

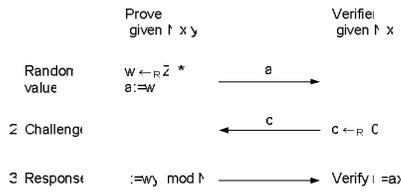
- Careful with computationally bounded cheating provers: how is x and aux chosen?
- Suitable option: Let them be chosen by the cheating prover, i.e., in polynomial-time, but (maybe) not with the correct generation algorithm.
- Definition (**Computational Soundness**): Given a proof system, except for the soundness, and just one security parameter $n = \text{par}$.
Then the system has computational soundness if for all PPT algorithms gen^* , P^* , we have that
 $\Pr[x \notin L \wedge \text{Exp}_{P^*,V}(n, x, \text{aux}), (n, x) = 0; (x, \text{aux}) \leftarrow \text{gen}^*(n)]$
is negligible (in n).

Proving Quadratic Residuosity

- Proof of language membership: $L = \{(N, x) \mid x \in \text{QR}_N\}$
- Auxiliary information: $\text{aux} = y$ such that $y^2 = x \pmod N$.
- **Generation:** Any algorithm gen that outputs pairs $((N, x), y)$ such that $(N, x) \in L$ and $y^2 = x \pmod N$ is ok.
- **Prove** (iterated σ times):
 - Prover gets (N, x, y) , chooses $w \leftarrow_{\mathbb{R}} \mathbb{Z}_N^*$, sets $a := w^2 \pmod N$, and outputs a .
 - Verifier receives a , chooses $c \leftarrow_{\mathbb{R}} \{0, 1\}$, and outputs c .
 - Prover receives c , computes $r := w \cdot y^c \pmod N$, and outputs r
 - Verifier receives r and outputs true iff $r^2 = a \cdot x^c$.

Proving Quadratic Residuosity

- **Prove** protocol again visually (one round):



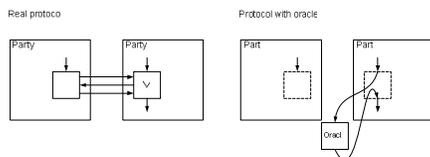
Proving Quadratic Residuosity

- **Theorem:** The quadratic residuosity scheme (of the last slide) is an interactive proof of language membership system.

[proof on the board]

Defining Zero-Knowledge

- Intended to capture that verifier learn **no new knowledge at all**.
- **NOT** intended to only capture keeping a specific secret hidden!
- Why such strong goal? Modular proofs...



Defining Zero-Knowledge

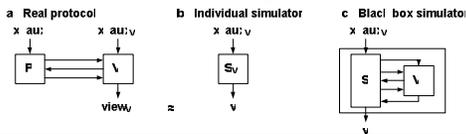
- Idea to define zero-knowledge:

Something is no new knowledge if one could easily have computed it alone.

- Example (roots of quadratic residues):
 - Honest verifier gives random residues and expects square roots modulo N.
 - Then only someone knowing the factors of N can compute square roots.
 - But the view, as seen afterwards, only consists of pairs (x,y) with $y^2 = x \pmod N$, which one can compute oneself.
 - Not yet zero-knowledge proof since verifier assumed honest ...

Defining Zero-Knowledge

- Idea more formally: For every verifier V^* , there exists a simulator S_v that, without given secrets, computes views that are indistinguishable from real views of V^* .
- Often black-box construction: One S that uses V^* as a blackbox, including resetting V^* to a previous state.
- Allow additionally arbitrary aux_v (previous knowledge, parallel sessions, etc.), strengthens the definition



Indistinguishability

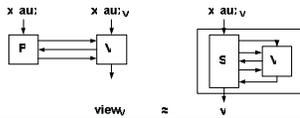
- Indistinguishability defined on ensembles of probability distributions $(Prob_i)_{i \in I}$ and $(Prob_i^*)_{i \in I}$.
- Definition (**Indistinguishability**): Two ensembles of probability distributions $(Prob_i)_{i \in I}$ and $(Prob_i^*)_{i \in I}$ are
 - perfectly indistinguishable** if they are equal.
 - computationally indistinguishable** if for all PPT algorithms D (the distinguisher), we have that

$$|\Pr(D(i, v) = 1; v \leftarrow_r Prob_i) - \Pr(D(i, v) = 1; v \leftarrow_r Prob_i^*)|$$

is negligible.

Defining Zero-Knowledge

- Definition (**Zero-Knowledge**). Let an interactive proof system with generation algorithm be given. It is called
 - **perfectly zero-knowledge** if for all PPT interactive algorithms V^* (cheating verifier), there exists an (expected) PPT algorithm S_{V^*} (the simulator), such that the following two ensembles are perfectly indistinguishable:
 - The index set I is the set of tuples (par, x, aux, aux_{V^*}) with $(x, aux) \in [gen(par)]$.
 - The two ensembles for $(par, x, aux, aux_{V^*}) \in I$ are V^* 's view in $Exp_{P, V^*}((par, x, aux), (par, x, aux_{V^*}))$ and $S_{V^*}(par, x, aux_{V^*})$.

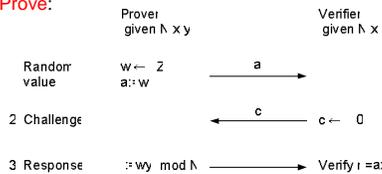


Defining Zero-Knowledge

- Definition (**Zero-Knowledge**). Let an interactive proof system with generation algorithm be given. It is called
 - **perfectly zero-knowledge** if for all PPT interactive algorithms V^* (cheating verifier), there exists a PPT algorithm S_{V^*} (the simulator), such that the following two ensembles are perfectly indistinguishable:
 - The index set I is the set of tuples (par, x, aux, aux_{V^*}) with $(x, aux) \in [gen(par)]$.
 - The two ensembles for $(par, x, aux, aux_{V^*}) \in I$ are V^* 's view in $Exp_{P, V^*}((par, x, aux), (par, x, aux_{V^*}))$ and $S_{V^*}(par, x, aux_{V^*})$.
 - **computationally zero-knowledge** if the same holds for "suitably generated" aux_{V^*} : For all PPT algorithms G , define $(x, aux) \leftarrow gen(par)$, $aux_{V^*} \leftarrow G(x, aux)$, and require that the two ensembles defined above are computationally indistinguishable.

Proving Quadratic Residuosity

- Proof of language membership: $L = \{(N, x) \mid x \in QR_N\}$
- Auxiliary information: $aux = y$ such that $y^2 = x \pmod N$.
- **Generation**: Any algorithm **gen** that outputs pairs $((N, x), y)$ such that $(N, x) \in L$ and $y^2 = x \pmod N$ is ok.
- **Prove**:



Proving Quadratic Residuosity

- **Theorem:** The quadratic residuosity scheme is a perfectly zero-knowledge proof (of language membership) system.
- Need to construct a simulator that produces correctly distributed views (a,c,r,b) of (potentially dishonest) verifier V^* .

Proving Quadratic Residuosity

- Construction of a simulator for an **honest** verifier V :
 - Choose $r \leftarrow_R \mathbb{Z}_N^*$.
 - Choose $c \leftarrow_R \{0,1\}$.
 - Solve verification equation for a , yielding $a := r^2 / x^c$.
- Construction of a simulator for a **dishonest** verifier V^* :
 - Choose $r \leftarrow_R \mathbb{Z}_N^*$.
 - Choose $c \leftarrow_R \{0,1\}$.
 - Solve verification equation for a , yielding $a := r^2 / x^c$.
 - Start V^* , send a to it, wait for challenge c^* .
 - If $c = c^*$, send r to V^* , get its final output b , and output (a,c,r,b) . If $c \neq c^*$, rewind V^* and start with a new value of r .
- Still to show: simulation for multiple rounds by induction, correct distribution for $a,c,r,b \dots$

On Proofs of Knowledge

- First, how to define that a machine “knows” a value?
 - Written on its tape?
 - ⇒ Might be stored in reverse order ...
 - In an arbitrary encoding?
 - ⇒ Might be stored as $N = pq$...
 - Successfully computable in polynomial-time?
 - ⇒ Not defined for individual input/output pairs, only for global function ...

On Proofs of Knowledge

- Definition idea using **knowledge extractor** K:
 - K can extract witness w by using P^* as a block-box.



- **Intuition:** Extractor should be successful for all provers with not-negligible success probability.
- **Problem:** The smaller this success probability, the more difficult extraction gets
→ grant K running time inversely proportional to the success probability of P^* .

Definition Proof of Knowledge

- Definition (**Interactive Proof-of-Knowledge System**):
 - Parameters: binary relation R, security parameter(s) par. Let $L_R := \{x \mid \exists w: (w, x) \in R\}$.
 - Roles P and V, and subprotocols **gen** and **prove** as before
 - Let again $\text{Exp}_{P,V}((par, x, aux), (par, x)) = b$ denote the event that V outputs b.

Requirements

- **Correct generation:** For all valid parameters par, and $(x, aux) \in [\text{gen}(\text{par})]$, we have $(x, aux) \in R$.
- **Completeness:** Correct prover P with input a witness w (=aux) for input x can always convince correct verifier V:
For all valid par:
 $\Pr[\text{Exp}_{P,V}((par, x, aux), (par, x)) = 0; (x, aux) \leftarrow \text{gen}(\text{par})] = 0$
- **Weak Soundness:** There exists a PPT machine K (knowledge extractor), that can interact with and reset a machine P^* , and a polynomial pol such that:
For all PPT P^* , all aux, and all $x \in L_R$, we have that on input (par, x) , and interacting with P^* , K should output a witness w for x in expected time

$$\frac{\text{pol}(n)}{\Pr[\text{Exp}_{P^*,V}((par, x, aux), (par, x)) = 0]}$$

Weak and Strong Soundness

- Only weak soundness so far: soundness only for $x \in L_R$.
- Did not care if prover could prove knowledge of the prime factors of a prime, a root of a QNR, etc.
- Strong soundness = weak soundness + proof of language membership
- We'll stop here for now...

Application Areas

- **Identification schemes:** Prove that one owns a secret key corresponding to a public key
- **Signatures:** Fiat-Shamir paradigm: mingle message into challenge (no details here)
- Many more applications in higher level protocols: commitment schemes, credentials used in access control etc.
