

# CS 578 – Cryptography

Prof. Michael Backes

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**Basics of Block Ciphers, DES, AES**

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**April 28, 2006**

# Administrative Announcements

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- Practical classes:
  - Start next week, assignment to groups on the web page this weekend
- Lecture Notes:
  - Usually put out on Tuesday for the current and following lecture (sometimes more)
- Quizzes:
  - Quizzes always treat topics of the Friday-Tuesday lecture block that the exercise sheet tackled, quizzes last 15 min.
  - Quizzes are written in English
  - First quiz next Wednesday (on lectures 1 + 2)
- Discussion board
  - Please register as announcements on the course/exercises/quizzes, etc. will be given there
  - <http://infsec.cs.uni-sb.de/wbb2/>

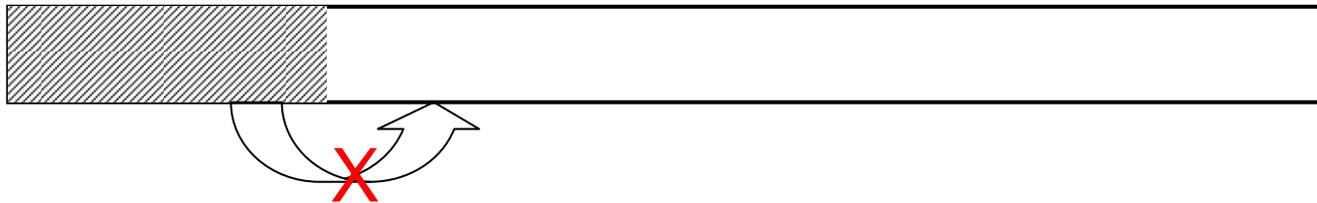
# Recall: Ciphers, OTP and Perfect Secrecy

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- Ciphers as pair  $(E,D)$  of algorithms defined over  $(\mathcal{K},\mathcal{M},\mathcal{C})$  such that for all  $K,m$ :  $D(K,E(K,m)) = m$ .
- First “proven secure” cipher: OTP  
Encryption:  $c = E(K,m) = K \oplus m$   
Decryption:  $m = D(K,c) = K \oplus c$
- A cipher  $(E,D)$  defined over  $(\mathcal{M},\mathcal{K},\mathcal{C})$  has perfect secrecy if for all  $m_0, m_1 \in \mathcal{M}$ , and for all  $c \in \mathcal{C}$ :  
$$\Pr [c = c'; K \leftarrow_{\mathcal{R}} \mathcal{K}, c' \leftarrow E(K,m_0)]$$
$$= \Pr [c = c'; K \leftarrow_{\mathcal{R}} \mathcal{K}, c' \leftarrow E(K,m_1)]$$

# Recall: Stream Ciphers and PRGs

- OTP has perfect secrecy, but unpractical
- Bad news: any cipher with perfect secrecy has  $\text{len}(K) \geq \text{len}(m)$
- Circumventing the problem: stream ciphers,  $E(K,m) = m \oplus \text{PRG}(K)$
- PRG:  $\{0,1\}^k \rightarrow \{0,1\}^{p(k)}$ 
  - Public deterministic function (only unknown seed)
  - PRG should be unpredictable



# Recall: Stream Cipher Examples

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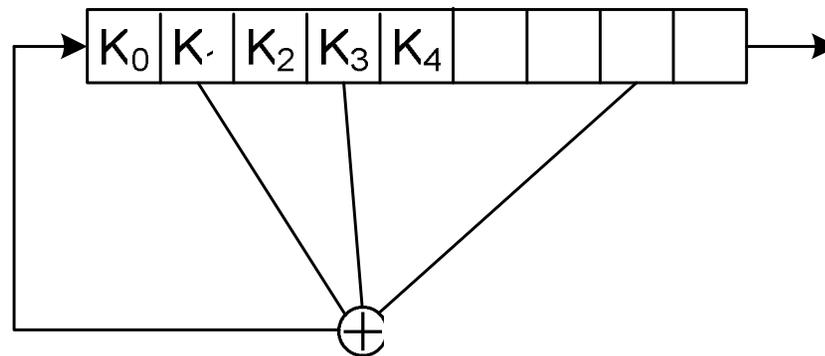
- RC4, LFSR (again today), ...
- Another example: T-Function (Shamir 2002)
  1.  $x \leftarrow x + (x^2 \vee C) \bmod 2^n$ .
  2. Output  $\text{msb}(x)$
  3. Go to 1.

Very long repetition period (cycle of length  $2^n$ ), seems unpredictable

Only requirement:  $\text{lsb}(C) = \text{lsb}_3(C) = 1$
- Important for stream ciphers: Never use key more than once

# Hardware PRG

- LFSR (Linear Feedback Shift Register)
  - used in CSS, GSM
  - Standard solution for doing cheap hardware encryption (as a stream cipher)

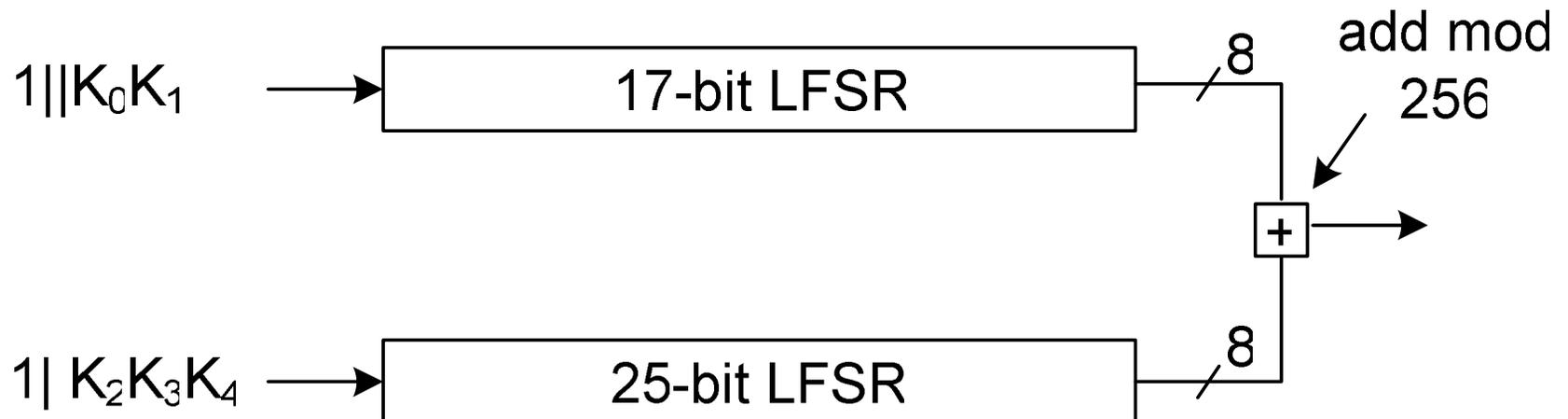


- Seed: Initial value of the register
- On their own not usable: first bits output are key bits

# CSS

- Content Scrambling System (CSS)
- Key = 40 bits = 5 bytes ( $K_0K_1K_2K_3K_4$ )

seed



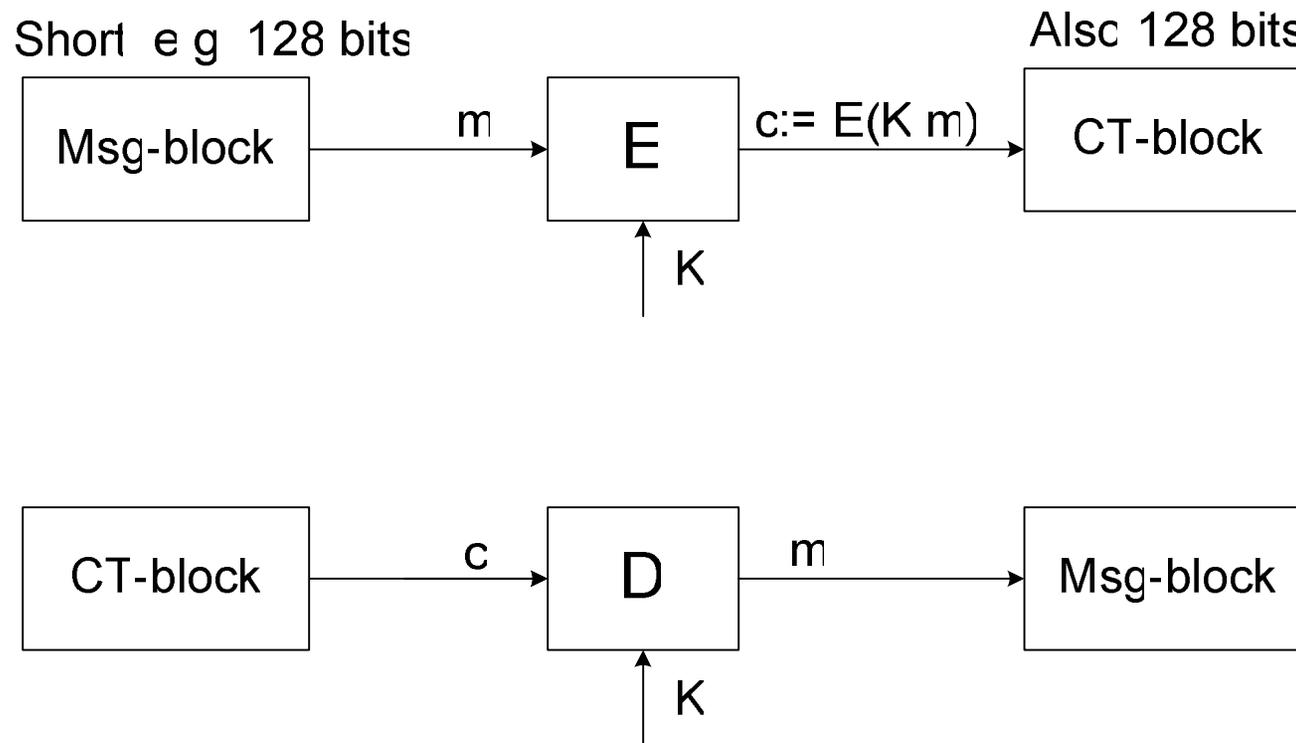
- Easy to break in time ca.  $2^{20}$  ( $2^{40}$  with brute force)

# Performance of Stream Ciphers

## Crypto++ 5.2.1 Benchmarks [by Wei Dei]

	Algorithm	Megabytes( $2^{20}$ bytes) Processed	Time Taken	MB/Second
Stream ciphers	<b>RC4</b>	512	4.517	113.350
	<b>SEAL</b>	1.024	3.485	293.831
	<b>BBS 512</b>	0.25	4.096	0.070
Block ciphers	<b>DES</b>	128	5.998	21.340
	<b>DES-X</b>	128	6.159	20.783
	<b>3-DES</b>	64	6.499	9.848
	<b>IDEA</b>	64	3.375	18.963
	<b>Rijndael (128-bit key)</b>	256	4.196	61.010
	<b>Rijndael (192-bit key)</b>	256	4.817	53.145
	<b>Rijndael (256-bit key)</b>	256	5.308	48.229

# Block Ciphers



# Data Encryption standard (DES)

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## History:

1. 1967: Feistel at IBM: Lucifer  
key-len = 128 bits, msg-len = CT-len = 128 bits
2. 1972: National Bureau of Standards (NBS, now NIST) asked for federal encryption standard  
→ IBM developed DES
3. 1975: DES became the standard:  
key-len = 56 bits, msg-len = CT-len = 64 bits
4. DES (somewhat) vulnerably to brute-force today
  - 3-DES; 56 bits →  $3 \cdot 56 = 168$  bits
  - Successor of DES: Advanced Encryption Standard (AES):
    - 1998: NIST: Competition for DES replacement
    - 2000: Adopted Rijndael as AES

# Performance overview of DES

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# Intuition of DES

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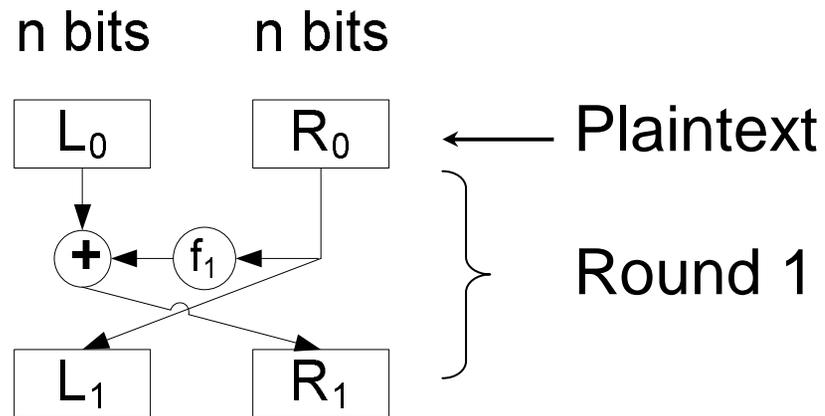
- Basic Idea: Feistel Networks:
  - Also used in IDEA, RC5, Skipjack, ...
  - AES does not use a Feistel Network!

- Feistel Networks:

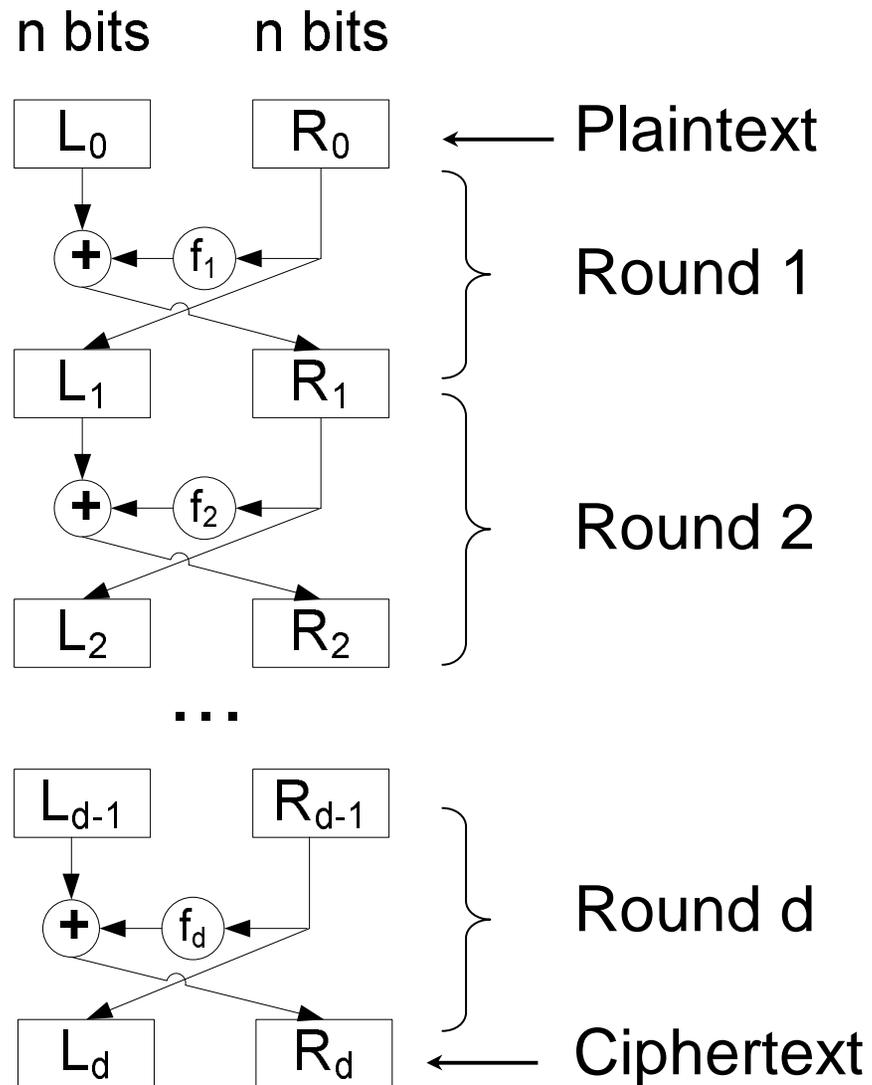
$$f_1, \dots, f_d: \{0, 1\}^n \rightarrow \{0, 1\}^n$$

(for DES:  $n = 32$ ,  $d=16$ )

# Feistel Networks



# Feistel Networks



# Intuition of DES

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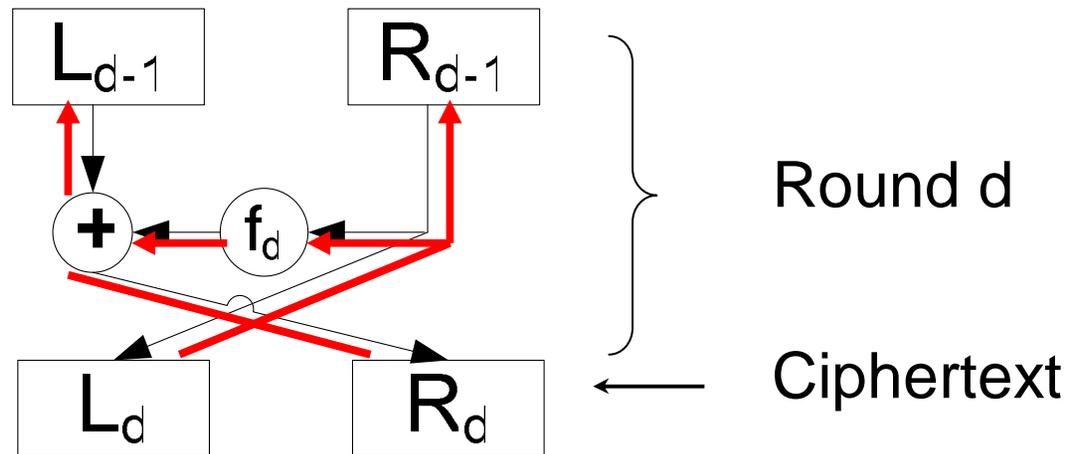
- Basic Idea: Feistel Networks:
  - Also used in IDEA, RC5, Skipjack, ...
  - AES does not use a Feistel Network!
- Feistel Networks:
  - $f_1, \dots, f_d: \{0,1\}^n \rightarrow \{0,1\}^n$   
(for DES:  $n = 32, d=16$ )
  - $(L_0, R_0) \leftarrow \text{Partition (PT)}$
  - For  $i = 1$  to  $d$ 
    - $L_i \leftarrow R_{i-1}$
    - $R_i \leftarrow L_{i-1} \oplus f_i(R_{i-1})$

# Feistel is one-to-one

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- Claim: For any functions  $f_1, \dots, f_d$ , a Feistel network is a one-to-one map  $F: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$
- Proof: Construct  $F^{-1}$  given CT in  $\{0,1\}^{2n}$ ,  
 $CT = (L_d, R_d)$

# Feistel Networks



# Feistel is one-to-one

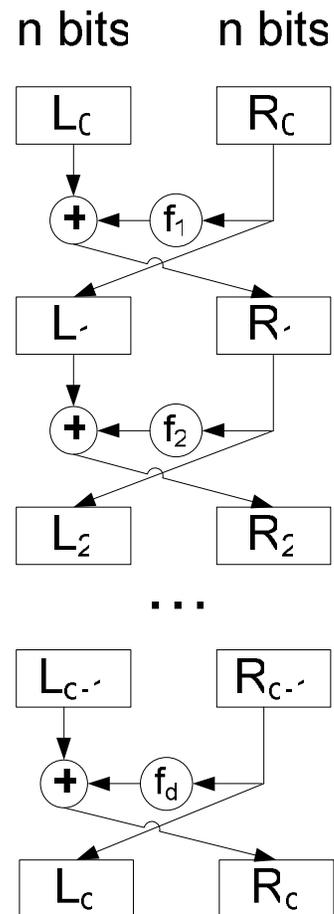
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- Proof: Construct  $F^{-1}$  given CT in  $\{0,1\}^{2n}$ ,  $CT = (L_d, R_d)$
- In symbols:
  - $R_{d-1} = L_d$        $L_{d-1} = R_d \oplus f_d(L_d)$
  - $R_{d-2} = L_{d-1}$        $L_{d-2} = R_{d-1} \oplus f_{d-1}(L_{d-1})$
  - ...
  - $R_0 = L_1$        $L_0 = R_1 \oplus f_1(L_1)$
- Feistel Networks inverts itself!
  - Apply functions  $f_i$  in reverse order,  $f_d, f_{d-1}, f_{d-2} \dots$

# Feistel “encryption” and “decryption”

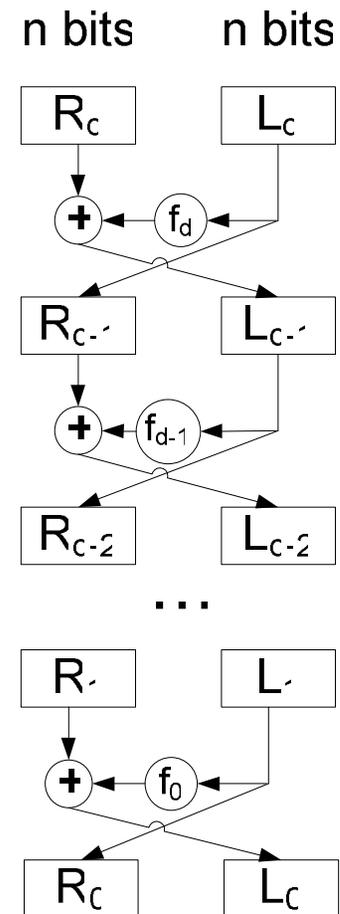
- Encryption by Feistel Network:
  - $f_1, \dots, f_d: \{0,1\}^{32} \rightarrow \{0,1\}^{32}$
  - $(L_0, R_0) \leftarrow \text{Partition (PT)}$
  - $L_1 = R_0 \qquad R_1 = L_0 \oplus f_1(R_0)$
  - $L_2 = R_1 \qquad R_2 = L_1 \oplus f_2(R_1)$
  - ...
  - $L_d = R_{d-1} \qquad R_d = L_{d-1} \oplus f_d(R_d)$
- Decryption by backwards Feistel traversal:
  - $R_{d-1} = L_d \qquad L_{d-1} = R_d \oplus f_d(L_d)$
  - $R_{d-2} = L_{d-1} \qquad L_{d-2} = R_{d-1} \oplus f_{d-1}(L_{d-1})$
  - ...
  - $R_0 = L_1 \qquad L_0 = R_1 \oplus f_1(L_1)$

# Decrypting Feistel Networks

## Encryption

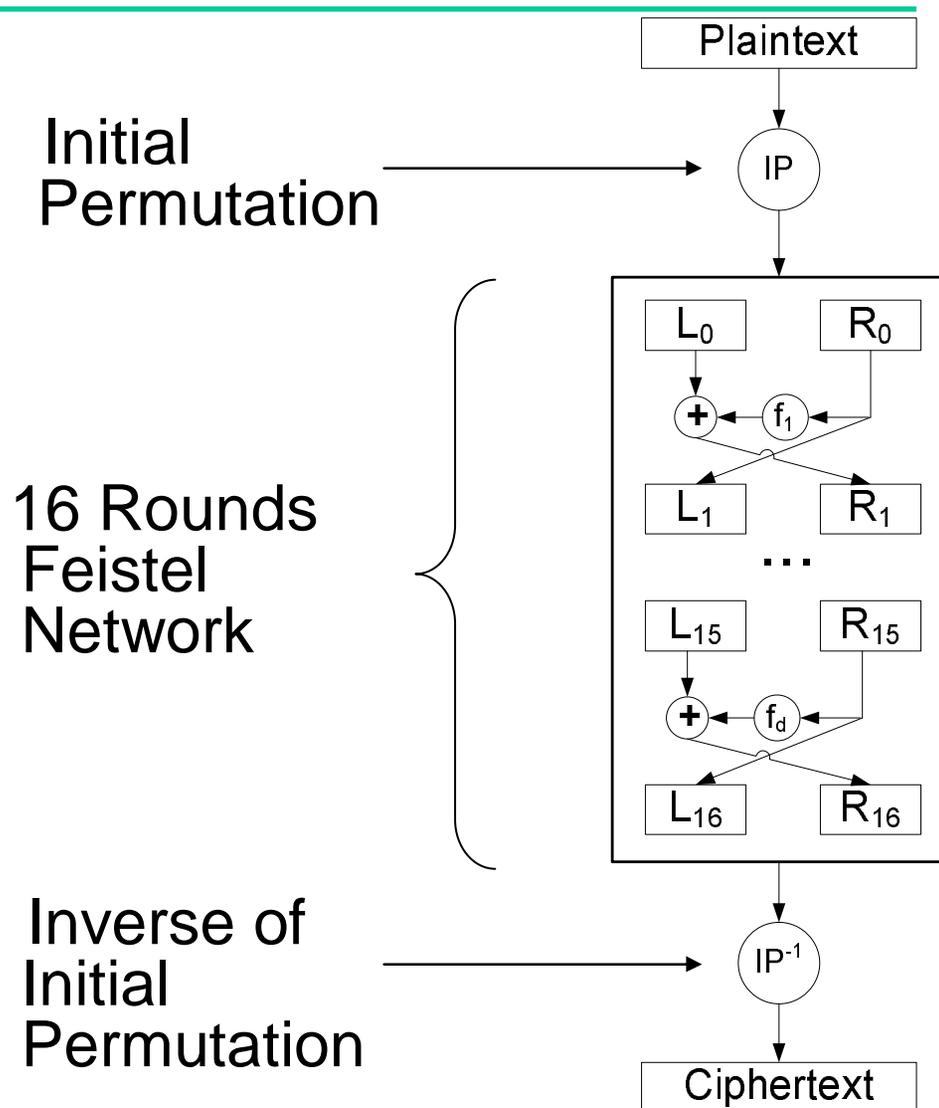


## Decryption



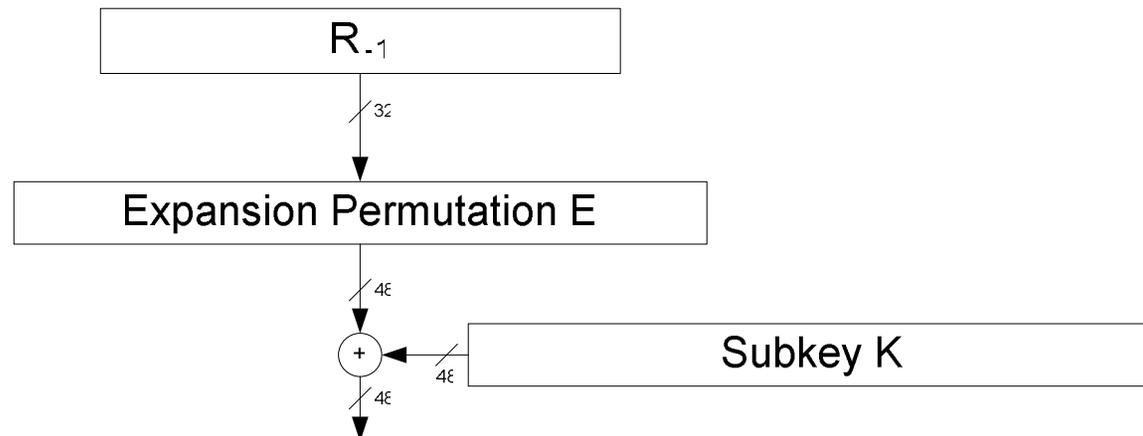
# DES

- DES: 16-round Feistel Network:
  - $f_1, \dots, f_{16}: \{0,1\}^{32} \rightarrow \{0,1\}^{32}$

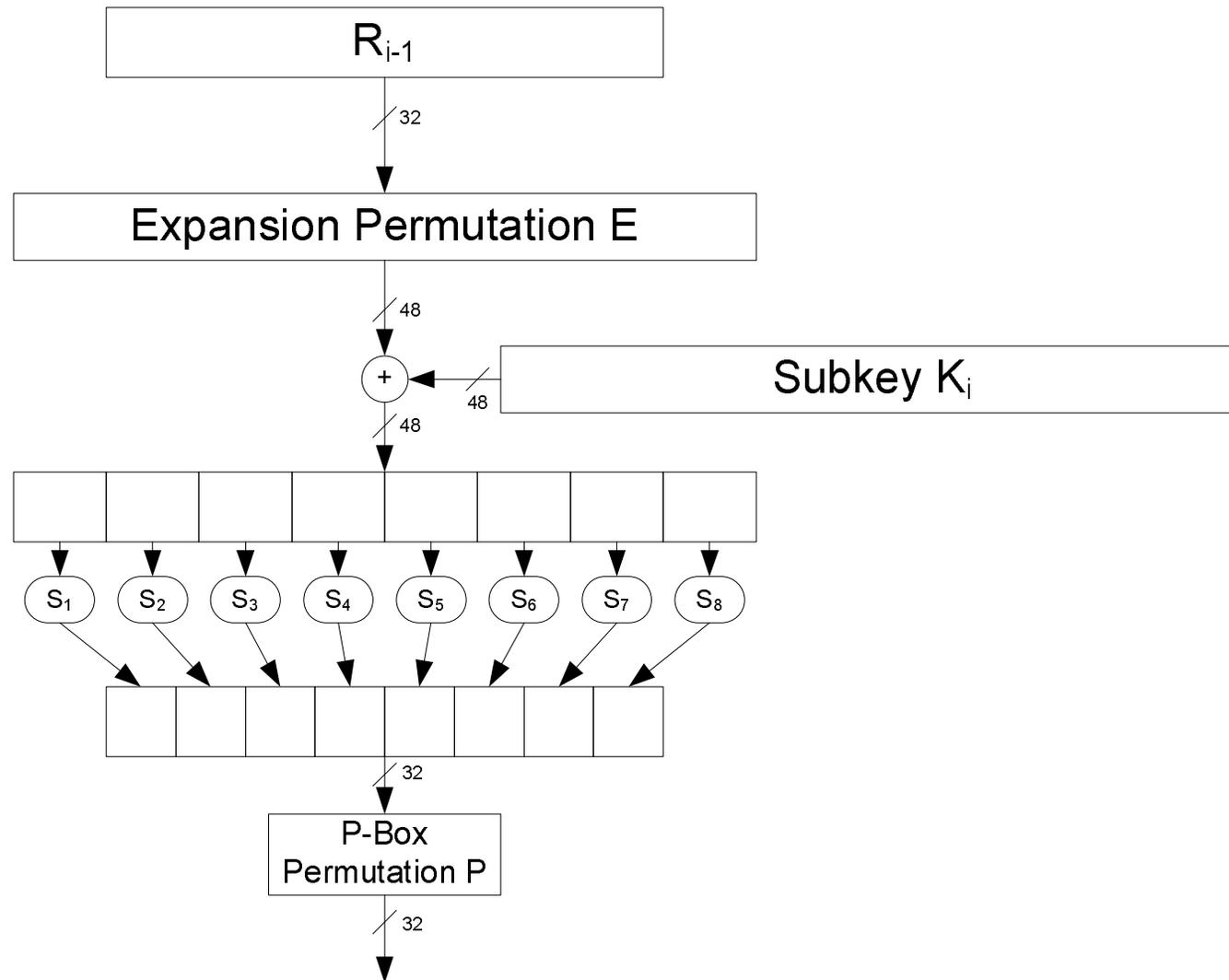


# Feistel Functions in DES

- $f_i(R_{i-1}) := f(R_{i-1}, K_i)$ ,  $R_{i-1}$  in  $\{0, 1\}^{32}$
- The keys  $K_i$  (48 bits) are derived from  $K$  (56 bits) by specified key schedule (bit-subset relation)
- $f(R_{i-1}, K_i)$ :  $R_{i-1}$  (32 bits),  $K_i$  (48 bits)
  1.  $R_{i-1}$  (32 bits)  $\rightarrow$   $R_{i-1}'$  (48 bits) (blowup by bit replication)
  2.  $f(R_{i-1}, K_i) := R_{i-1}'$  (48 bits)  $\oplus$   $K_i$  (48 bits)



# Feistel Functions in DES (cont'd)



# S-Boxes

$S_1:$															
14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8
4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13
$S_2:$															
15	1	8	14	6	11	3	4	9	7	2	13	12	0	5	10
3	13	4	7	15	2	8	14	12	0	1	10	6	9	11	5
0	14	7	11	10	4	13	1	5	8	12	6	9	3	2	15
13	8	10	1	3	15	4	2	11	6	7	12	0	5	14	9
$S_3:$															
10	0	9	14	6	3	15	5	1	13	12	7	11	4	2	8
13	7	0	9	3	4	6	10	2	8	5	14	12	11	15	1
13	6	4	9	8	15	3	0	11	1	2	12	5	10	14	7
1	10	13	0	6	9	8	7	4	15	14	3	11	5	2	12
$S_4:$															
7	13	14	3	0	6	9	10	1	2	8	5	11	12	4	15
13	8	11	5	6	15	0	3	4	7	2	12	1	10	14	9
10	6	9	0	12	11	7	13	15	1	3	14	5	2	8	4
3	15	0	6	10	1	13	8	9	4	5	11	12	7	2	14
$S_5:$															
2	12	4	1	7	10	11	6	8	5	3	15	13	0	14	9
14	11	2	12	4	7	13	1	5	0	15	10	3	9	8	6
4	2	1	11	10	13	7	8	15	9	12	5	6	3	0	14
11	8	12	7	1	14	2	13	6	15	0	9	10	4	5	3

# S-Boxes and P-Box

$S_6:$															
12	1	10	15	9	2	6	8	0	13	3	4	14	7	5	11
10	15	4	2	7	12	9	5	6	1	13	14	0	11	3	8
9	14	15	5	2	8	12	3	7	0	4	10	1	13	11	6
4	3	2	12	9	5	15	10	11	14	1	7	6	0	8	13

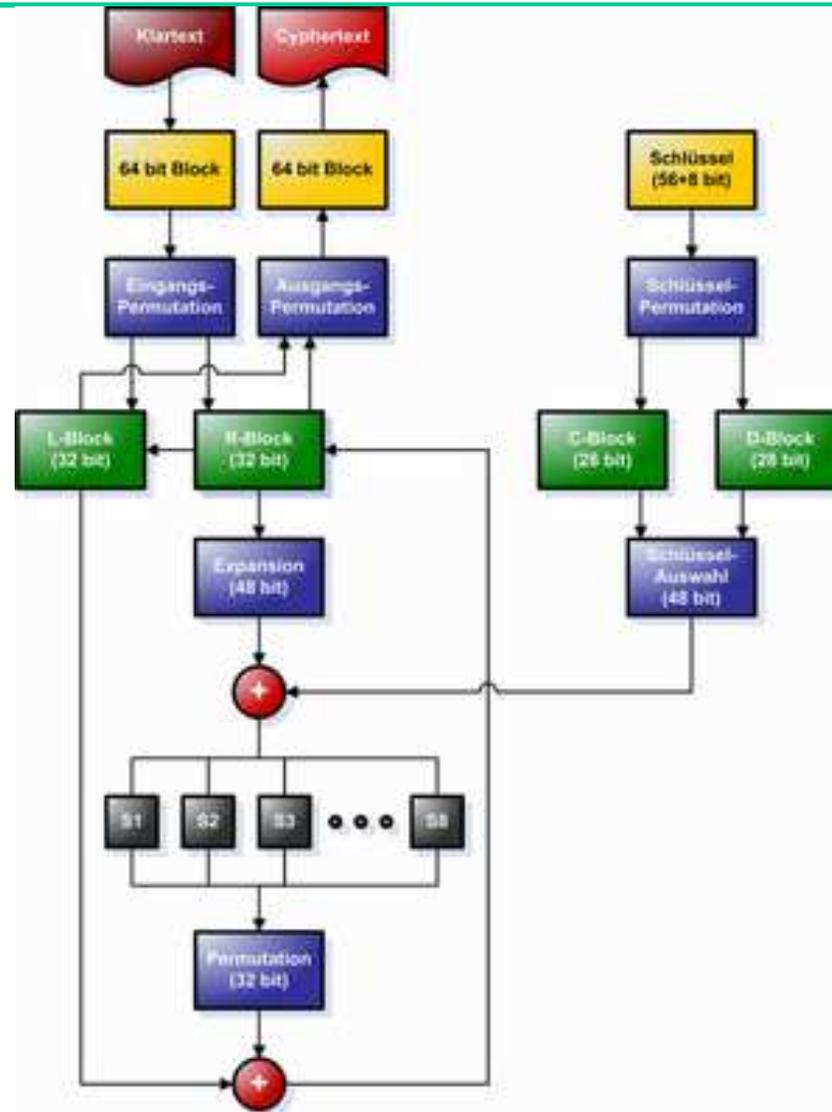
$S_7:$															
4	11	2	14	15	0	8	13	3	12	9	7	5	10	6	1
13	0	11	7	4	9	1	10	14	3	5	12	2	15	8	6
1	4	11	13	12	3	7	14	10	15	6	8	0	5	9	2
6	11	13	8	1	4	10	7	9	5	0	15	14	2	3	12

$S_8:$															
13	2	8	4	6	15	11	1	10	9	3	14	5	0	12	7
1	15	13	8	10	3	7	4	12	5	6	11	0	14	9	2
7	11	4	1	9	12	14	2	0	6	10	13	15	3	5	8
2	1	14	7	4	10	8	13	15	12	9	0	3	5	6	11

$P:$							
16	7	20	21	29	12	28	17
1	15	23	26	5	18	31	10
2	8	24	14	32	27	3	9
19	13	30	6	22	11	4	25

# High-level Review of DES



# History of AES

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- AES: Advanced Encryption Standard
- 1997: NIST publishes RFP
- 1998: 165 submissions, 5 susceptible to attacks
- 1999: NIST chooses 5 finalists
- 2000: Rijndael selected the winner
- Key sizes: 128, 192, or 256 bits
- Block sizes: 128 bits

# Parameters of Rijndael and AES

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- AES: September 2000
- Rijndael (secure for the next 20-30 years?):
  - Flexible key sizes: 128, 192, or 256 bits
  - Flexible block sizes: 128, 192 or 256 bits
- AES: required Rijndael to use blocks of size 128 bits, keys of 128 bits typical

# The Rijndael Cipher (for 128-bit Key)

- Rijndael Key K:

$$\begin{pmatrix} k_{0,0} & k_{0,1} & k_{0,2} & k_{0,3} \\ k_{1,0} & k_{1,1} & k_{1,2} & k_{1,3} \\ k_{2,0} & k_{2,1} & k_{2,2} & k_{2,3} \\ k_{3,0} & k_{3,1} & k_{3,2} & k_{3,3} \end{pmatrix}$$

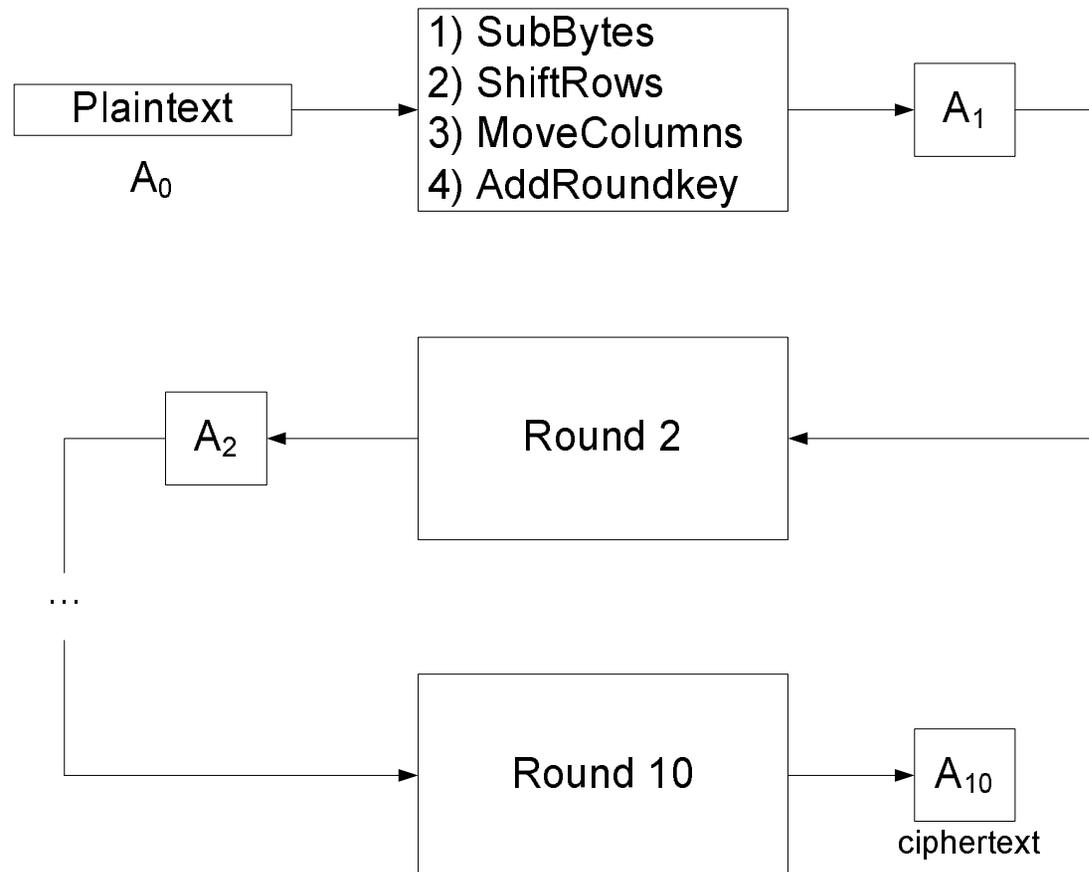
4x4 matrix  
of bytes

- Rijndael state A:

$$\begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{pmatrix}$$

4x4 matrix  
of bytes

# The Rijndael Cipher (cont'd)



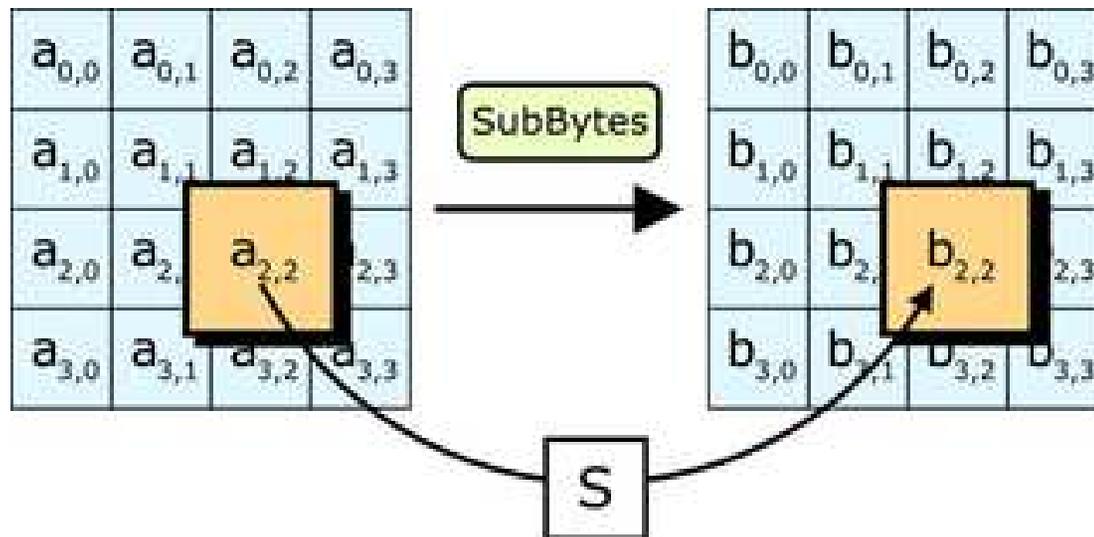
## Details on AES (cont'd)

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- AES round:
  1. SubBytes:  $A[i,j] \leftarrow \text{s-box}(A[i,j])$

# Details on AES

- SubBytes: non-linear substitution step, each byte replaced according to a lookup table
- $S = 8$ -bit lookup table (Matrix from  $GF(2^8)$ )



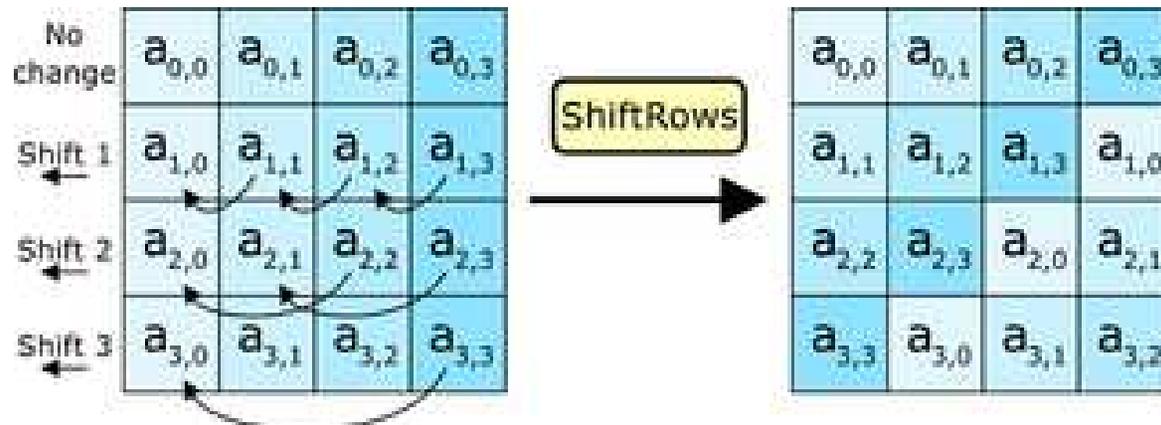
## Details on AES (cont'd)

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- AES round:
  1. SubBytes:  $A[i,j] \leftarrow \text{s-box}(A[i,j])$   
(s-box based on inversion in  $\text{GF}(2^8)$ )
  2. ShiftRows: For  $i=0,1,2,3$ : Rotate left row  $i$  by  $i$  pos.

# Details on AES (cont'd)

- ShiftRows: transposition step, each row shifted cyclically a certain number of steps.



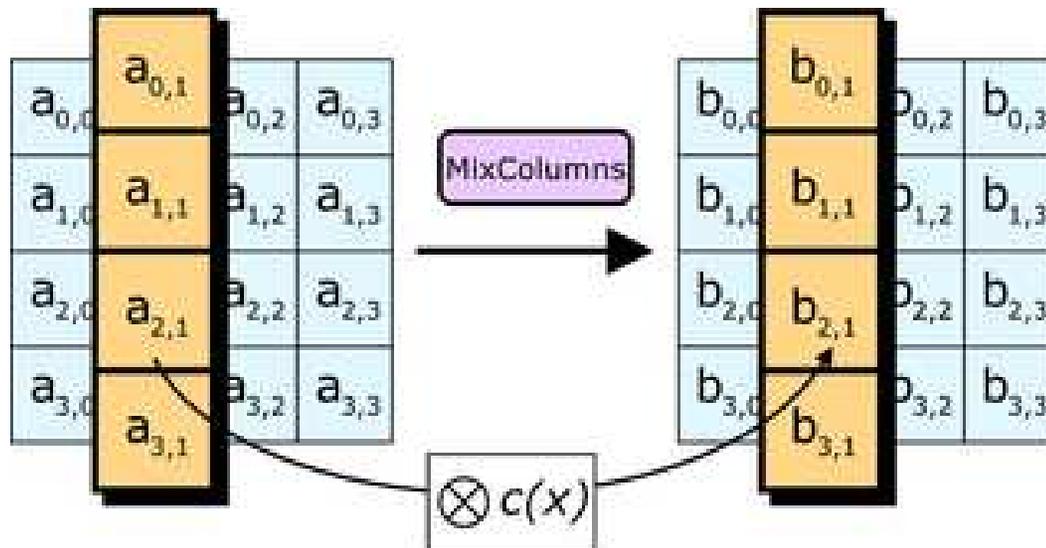
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  3. MixColumns: Multiply each column to fixed matrix (over  $\text{GF}(2^8)$ )

# Details on AES (cont'd)

- MixColumns: multiplication of columns by 4x4 matrix; mixing operating on columns combining four bytes in each column using a linear transformation.



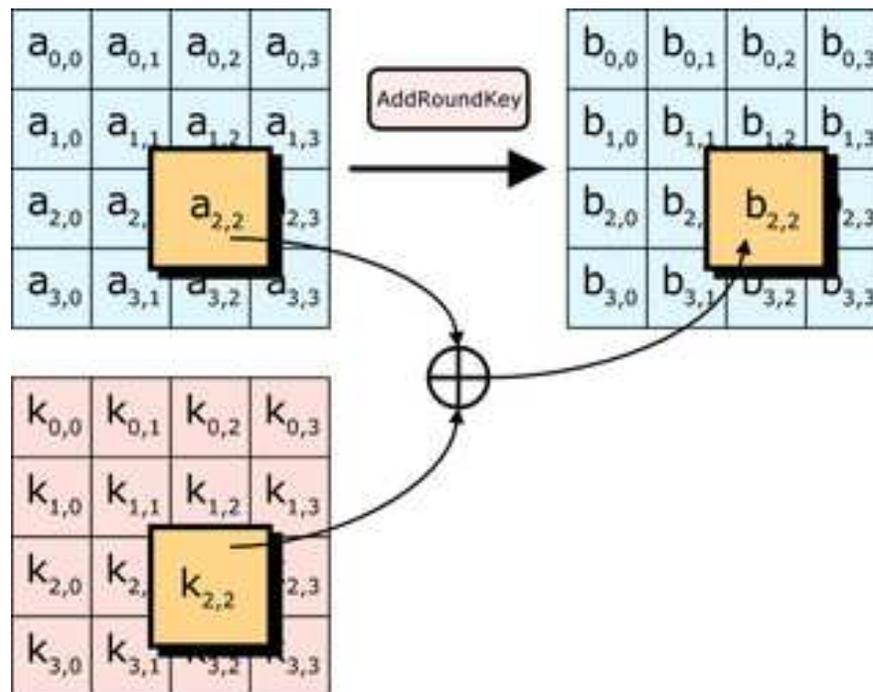
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  3. MixColumns: Multiply each column to fixed matrix (over  $\text{GF}(2^8)$ )  $\rightarrow A_i^{(3)}$
  4. AddRoundKey:
    - $A_{i+1} \leftarrow A_i^{(3)} \oplus K_i$
    - $K_i$  =  $i$ -th round key derived from 128-bit key  $K$

# Details on AES (cont'd)

- AddRoundKey: XOR state with round key; round key derived from the key by explicit key schedule



# Performance of AES

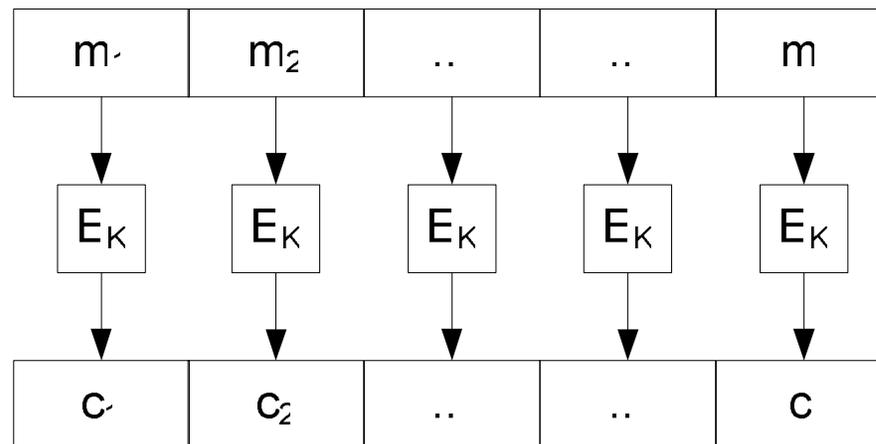
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# Outlook: How (not) to use Block Ciphers

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- Electronic Codebook (ECB)
  - Intuitive but naïve way (how not to do it)

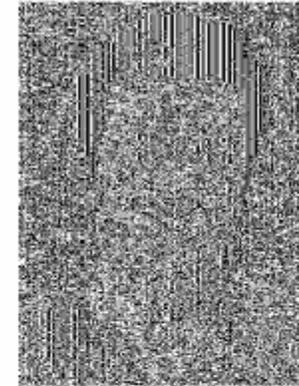


# Comparison (for AES, by Bart Preneel)

An example plaintext



Encrypted with AES in ECB mode



Encrypted with AES in CBC mode



Similar plaintext blocks produce similar ciphertext (see outline of head)

No apparent pattern